

# **Behavioral Health Services**

## **Greater Arizona RFP**

### **Databook**

#### **GSA 4**

#### **Gila and Pinal Counties**

**Prepared by:**

**Mercer Government Human Services Consulting**

**For:**

**Arizona Department of Health Services**

**Division of Behavioral Health Services**

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**Data Reports**

**Title XIX — Children Not Enrolled in CMDP**

**Demographic Tables**

*Eligibles*

**SFY01**

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 00-03         | Female | 4         | 80         | 964                 | 556                | 0                  | 22                | 656          | 2,282         |
|               | Male   | 2         | 62         | 1,104               | 577                | 0                  | 27                | 604          | 2,376         |
| 04-10         | Female | 2         | 152        | 1,218               | 922                | 0                  | 21                | 764          | 3,079         |
|               | Male   | 3         | 132        | 1,209               | 866                | 0                  | 48                | 857          | 3,115         |
| 11-17         | Female | 0         | 111        | 837                 | 810                | 0                  | 12                | 608          | 2,378         |
|               | Male   | 5         | 117        | 775                 | 772                | 0                  | 14                | 694          | 2,377         |
| <b>Totals</b> |        | <b>16</b> | <b>654</b> | <b>6,107</b>        | <b>4,503</b>       | <b>0</b>           | <b>144</b>        | <b>4,183</b> | <b>15,607</b> |

**SFY02**

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 00-03         | Female | 7         | 99         | 1,262               | 636                | 0                  | 26                | 743          | 2,773         |
|               | Male   | 4         | 83         | 1,300               | 628                | 0                  | 29                | 791          | 2,835         |
| 04-10         | Female | 3         | 147        | 1,444               | 979                | 0                  | 40                | 948          | 3,561         |
|               | Male   | 4         | 131        | 1,431               | 918                | 0                  | 77                | 924          | 3,485         |
| 11-17         | Female | 2         | 131        | 1,072               | 948                | 0                  | 24                | 807          | 2,984         |
|               | Male   | 6         | 136        | 1,090               | 875                | 0                  | 20                | 870          | 2,997         |
| <b>Totals</b> |        | <b>26</b> | <b>727</b> | <b>7,599</b>        | <b>4,984</b>       | <b>0</b>           | <b>216</b>        | <b>5,083</b> | <b>18,635</b> |

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 00-03         | Female | 7         | 96         | 1,355               | 695                | 0                  | 23                | 816          | 2,992         |
|               | Male   | 5         | 94         | 1,395               | 673                | 0                  | 40                | 931          | 3,138         |
| 04-10         | Female | 5         | 146        | 1,558               | 1,035              | 0                  | 35                | 1,087        | 3,866         |
|               | Male   | 5         | 140        | 1,595               | 975                | 0                  | 84                | 1,031        | 3,830         |
| 11-17         | Female | 3         | 147        | 1,175               | 1,011              | 0                  | 28                | 937          | 3,301         |
|               | Male   | 7         | 156        | 1,180               | 941                | 0                  | 29                | 984          | 3,297         |
| <b>Totals</b> |        | <b>32</b> | <b>779</b> | <b>8,258</b>        | <b>5,330</b>       | <b>0</b>           | <b>239</b>        | <b>5,786</b> | <b>20,424</b> |

***Enrollees***

**SFY01**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 00-03         | Female | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
| 04-10         | Female | 1        | 12        | 35                  | 1                  | 0                  | 1                 | 58         | 108        |
|               | Male   | 3        | 13        | 60                  | 9                  | 0                  | 4                 | 146        | 235        |
| 11-17         | Female | 1        | 7         | 45                  | 10                 | 0                  | 3                 | 87         | 153        |
|               | Male   | 1        | 17        | 94                  | 14                 | 0                  | 3                 | 185        | 314        |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 1                 | 0          | 1          |
| <b>Totals</b> |        | <b>6</b> | <b>49</b> | <b>234</b>          | <b>34</b>          | <b>0</b>           | <b>12</b>         | <b>476</b> | <b>811</b> |

**SFY02**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals       |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|--------------|
| 00-03         | Female | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0            |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0            |
| 04-10         | Female | 0        | 21        | 27                  | 5                  | 0                  | 19                | 64         | 136          |
|               | Male   | 2        | 27        | 55                  | 14                 | 0                  | 20                | 142        | 260          |
| 11-17         | Female | 1        | 26        | 81                  | 12                 | 0                  | 31                | 122        | 273          |
|               | Male   | 2        | 25        | 106                 | 16                 | 0                  | 46                | 240        | 435          |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 30                | 0          | 30           |
| <b>Totals</b> |        | <b>5</b> | <b>99</b> | <b>269</b>          | <b>47</b>          | <b>0</b>           | <b>146</b>        | <b>568</b> | <b>1,134</b> |

**SFY03**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals       |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|--------------|
| 00-03         | Female | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 2          | 2            |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 1          | 1            |
| 04-10         | Female | 0        | 9         | 19                  | 3                  | 0                  | 17                | 54         | 102          |
|               | Male   | 1        | 20        | 29                  | 10                 | 0                  | 23                | 105        | 188          |
| 11-17         | Female | 0        | 21        | 58                  | 5                  | 1                  | 43                | 142        | 270          |
|               | Male   | 2        | 34        | 80                  | 16                 | 1                  | 72                | 246        | 451          |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 347               | 0          | 347          |
| <b>Totals</b> |        | <b>3</b> | <b>84</b> | <b>186</b>          | <b>34</b>          | <b>2</b>           | <b>502</b>        | <b>550</b> | <b>1,361</b> |

**Historical Rates<sup>1</sup>**

|   | SFY01    | SFY02    | SFY03    | SFY04    | SFY05 <sup>1</sup> |
|---|----------|----------|----------|----------|--------------------|
| Capitation Rate                             | \$ 19.42 | \$ 30.01 | \$ 31.71 | \$ 37.28 | \$ 41.49           |
| Annual Percentage Change over<br>Prior Year | –        | 54.53%   | 5.66%    | 17.57%   | 11.29%             |

<sup>1</sup> Capitation rates shown for SFY01-SFY04 represent rates paid for the entire Title XIX Children’s population. SFY05 was the first year capitation rates were developed specifically for the CMDP population. The combined SFY05 All Children rate is \$52.76.

## Encounter and Financial Data Reports

### SFY01

| Category of Service            | Member Months  | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars <sup>2</sup> | Financial Statement PMPM <sup>2</sup> |
|--------------------------------|----------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|--|---------------------------------------|
| Treatment Services             | 168,604        | 1,118            | 54,693             | \$ 973,634                  | 3,893                          | \$ 17.80                 | \$ 5.77             | \$ 943,077                               | \$ 5.50                               |
| Rehabilitation Services        | 168,604        | 22               | 3,079              | \$ 62,934                   | 219                            | \$ 20.44                 | \$ 0.37             | \$ 415,306                               | \$ 2.42                               |
| Medical Services               | 168,604        | 465              | 1,840              | \$ 92,401                   | 131                            | \$ 50.22                 | \$ 0.55             | \$ -                                     | \$ -                                  |
| Support Services               | 168,604        | 386              | 63,562             | \$1,057,172                 | 4,524                          | \$ 16.63                 | \$ 6.27             | \$1,229,779                              | \$ 7.17                               |
| Crisis Intervention Services   | 168,604        | 41               | 914                | \$ 18,302                   | 65                             | \$ 20.02                 | \$ 0.11             | \$ 19,201                                | \$ 0.11                               |
| Inpatient Services             | 168,604        | 41               | 11,964             | \$ 394,878                  | 852                            | \$ 33.01                 | \$ 2.34             | \$ 617,033                               | \$ 3.60                               |
| Residential Services           | 168,604        | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                | \$ -                                     | \$ -                                  |
| Behavioral Health Day Programs | 168,604        | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                | \$ 401,339                               | \$ 2.34                               |
| Medications                    | 168,604        | 421              | 4,389              | \$ 263,263                  | 312                            | \$ 59.98                 | \$ 1.56             | \$ 475,188                               | \$ 2.77                               |
| <b>Total</b>                   | <b>168,604</b> | <b>1,199</b>     | <b>140,442</b>     | <b>\$2,862,584</b>          | <b>9,996</b>                   | <b>\$ 20.38</b>          | <b>\$ 16.98</b>     | <b>\$4,100,923</b>                       | <b>\$ 23.91</b>                       |

### SFY02

| Category of Service            | Member Months  | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars <sup>2</sup> | Financial Statement PMPM <sup>2</sup> |
|--------------------------------|----------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|--|---------------------------------------|
| Treatment Services             | 208,931        | 1,551            | 100,425            | \$1,875,714                 | 5,768                          | \$ 18.68                 | \$ 8.98             | \$2,142,181                              | \$ 10.11                              |
| Rehabilitation Services        | 208,931        | 94               | 1,494              | \$ 21,571                   | 86                             | \$ 14.44                 | \$ 0.10             | \$ 2,886                                 | \$ 0.01                               |
| Medical Services               | 208,931        | 585              | 2,436              | \$ 121,450                  | 140                            | \$ 49.85                 | \$ 0.58             | \$ 138,041                               | \$ 0.65                               |
| Support Services               | 208,931        | 1,374            | 103,164            | \$1,393,122                 | 5,925                          | \$ 13.50                 | \$ 6.67             | \$1,883,315                              | \$ 8.89                               |
| Crisis Intervention Services   | 208,931        | 74               | 605                | \$ 26,381                   | 35                             | \$ 43.61                 | \$ 0.13             | \$ 31,936                                | \$ 0.15                               |
| Inpatient Services             | 208,931        | 52               | 2,846              | \$ 699,965                  | 163                            | \$ 245.95                | \$ 3.35             | \$1,667,887                              | \$ 7.87                               |
| Residential Services           | 208,931        | 28               | 2,356              | \$ 302,354                  | 135                            | \$ 128.33                | \$ 1.45             | \$ 555,286                               | \$ 2.62                               |
| Behavioral Health Day Programs | 208,931        | 39               | 2,182              | \$ 118,365                  | 125                            | \$ 54.25                 | \$ 0.57             | \$ 266,220                               | \$ 1.26                               |
| Medications                    | 208,931        | 617              | 6,412              | \$ 370,424                  | 368                            | \$ 57.77                 | \$ 1.77             | \$ 621,928                               | \$ 2.94                               |
| <b>Total</b>                   | <b>208,931</b> | <b>1,668</b>     | <b>221,920</b>     | <b>\$4,929,346</b>          | <b>12,746</b>                  | <b>\$ 22.21</b>          | <b>\$ 23.59</b>     | <b>\$7,309,680</b>                       | <b>\$ 34.50</b>                       |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

<sup>2</sup> Financial Statement Dollars and Financial Statement PMPMs represent the combined population of CMDP and Non-CMDP children. Financial data was not available for each group separately.

**Annual Percentage Change SFY01 – SFY02**

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars <sup>2</sup> | Financial Statement PMPM <sup>2</sup> |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|--|---------------------------------------|
| Treatment Services             | 23.9%         | 38.7%            | 83.6%              | 92.7%                       | 48.2%                          | 4.9%                     | 55.5%               | 127.1%                                   | 83.9%                                 |
| Rehabilitation Services        | 23.9%         | 327.3%           | -51.5%             | -65.7%                      | -60.8%                         | -29.4%                   | -72.3%              | -99.3%                                   | -99.4%                                |
| Medical Services               | 23.9%         | 25.8%            | 32.4%              | 31.4%                       | 6.8%                           | -0.7%                    | 6.1%                | N/A                                      | N/A                                   |
| Support Services               | 23.9%         | 256.0%           | 62.3%              | 31.8%                       | 31.0%                          | -18.8%                   | 6.3%                | 53.1%                                    | 24.0%                                 |
| Crisis Intervention Services   | 23.9%         | 80.5%            | -33.8%             | 44.1%                       | -46.6%                         | 117.8%                   | 16.3%               | 66.3%                                    | 34.6%                                 |
| Inpatient Services             | 23.9%         | 26.8%            | -76.2%             | 77.3%                       | -80.8%                         | 645.2%                   | 43.0%               | 170.3%                                   | 118.8%                                |
| Residential Services           | 23.9%         | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 | N/A                                      | N/A                                   |
| Behavioral Health Day Programs | 23.9%         | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 | -33.7%                                   | -46.3%                                |
| Medications                    | 23.9%         | 46.6%            | 46.1%              | 40.7%                       | 17.9%                          | -3.7%                    | 13.5%               | 30.9%                                    | 5.9%                                  |
| <b>Total</b>                   | <b>23.9%</b>  | <b>39.1%</b>     | <b>58.0%</b>       | <b>72.2%</b>                | <b>27.5%</b>                   | <b>9.0%</b>              | <b>39.0%</b>        | <b>78.2%</b>                             | <b>44.3%</b>                          |

**SFY03**

| Category of Service            | Member Months  | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars <sup>2</sup> | Financial Statement PMPM <sup>2</sup> |
|--------------------------------|----------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|--|---------------------------------------|
| Treatment Services             | 237,704        | 1,789            | 103,455            | \$ 2,073,899                | 5,223                          | \$ 20.05                 | \$ 8.72             | \$ 2,592,005                             | \$ 10.77                              |
| Rehabilitation Services        | 237,704        | 69               | 1,523              | \$ 18,725                   | 77                             | \$ 12.29                 | \$ 0.08             | \$ 20,879                                | \$ 0.09                               |
| Medical Services               | 237,704        | 514              | 1,602              | \$ 79,760                   | 81                             | \$ 49.79                 | \$ 0.34             | \$ 177,736                               | \$ 0.74                               |
| Support Services               | 237,704        | 1,834            | 201,234            | \$ 2,343,273                | 10,159                         | \$ 11.64                 | \$ 9.86             | \$ 3,283,826                             | \$ 13.64                              |
| Crisis Intervention Services   | 237,704        | 135              | 925                | \$ 53,211                   | 47                             | \$ 57.53                 | \$ 0.22             | \$ 63,392                                | \$ 0.26                               |
| Inpatient Services             | 237,704        | 37               | 1,483              | \$ 384,575                  | 75                             | \$ 259.29                | \$ 1.62             | \$ 1,801,438                             | \$ 7.48                               |
| Residential Services           | 237,704        | 24               | 1,581              | \$ 222,776                  | 80                             | \$ 140.95                | \$ 0.94             | \$ 990,941                               | \$ 4.12                               |
| Behavioral Health Day Programs | 237,704        | 90               | 3,630              | \$ 221,765                  | 183                            | \$ 61.10                 | \$ 0.93             | \$ 240,502                               | \$ 1.00                               |
| Medications                    | 237,704        | 743              | 7,739              | \$ 590,963                  | 391                            | \$ 76.36                 | \$ 2.49             | \$ 1,050,175                             | \$ 4.36                               |
| <b>Total</b>                   | <b>237,704</b> | <b>1,967</b>     | <b>323,171</b>     | <b>\$ 5,988,947</b>         | <b>16,315</b>                  | <b>\$ 18.53</b>          | <b>\$ 25.20</b>     | <b>\$ 10,220,894</b>                     | <b>\$ 42.46</b>                       |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

<sup>2</sup> Financial Statement Dollars and Financial Statement PMPMs represent the combined population of CMDP and Non-CMDP children. Financial data was not available for each group separately.

**Annual Percentage Change SFY02 – SFY03**

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars <sup>2</sup> | Financial Statement PMPM <sup>2</sup> |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|--|---------------------------------------|
| Treatment Services             | 13.8%         | 15.3%            | 3.0%               | 10.6%                       | -9.5%                          | 7.3%                     | -2.8%               | 21.0%                                    | 6.5%                                  |
| Rehabilitation Services        | 13.8%         | -26.6%           | 1.9%               | -13.2%                      | -10.4%                         | -14.8%                   | -23.7%              | 623.5%                                   | 536.7%                                |
| Medical Services               | 13.8%         | -12.1%           | -34.2%             | -34.3%                      | -42.2%                         | -0.1%                    | -42.3%              | 28.8%                                    | 13.3%                                 |
| Support Services               | 13.8%         | 33.5%            | 95.1%              | 68.2%                       | 71.5%                          | -13.8%                   | 47.8%               | 74.4%                                    | 53.4%                                 |
| Crisis Intervention Services   | 13.8%         | 82.4%            | 52.9%              | 101.7%                      | 34.4%                          | 31.9%                    | 77.3%               | 98.5%                                    | 74.7%                                 |
| Inpatient Services             | 13.8%         | -28.8%           | -47.9%             | -45.1%                      | -54.2%                         | 5.4%                     | -51.7%              | 8.0%                                     | -4.9%                                 |
| Residential Services           | 13.8%         | -14.3%           | -32.9%             | -26.3%                      | -41.0%                         | 9.8%                     | -35.2%              | 78.5%                                    | 57.0%                                 |
| Behavioral Health Day Programs | 13.8%         | 130.8%           | 66.3%              | 87.4%                       | 46.2%                          | 12.6%                    | 64.7%               | -9.7%                                    | -20.5%                                |
| Medications                    | 13.8%         | 20.4%            | 20.7%              | 59.5%                       | 6.1%                           | 32.2%                    | 40.2%               | 68.9%                                    | 48.6%                                 |
| <b>Total</b>                   | <b>13.8%</b>  | <b>17.9%</b>     | <b>45.6%</b>       | <b>21.5%</b>                | <b>28.0%</b>                   | <b>-16.6%</b>            | <b>6.8%</b>         | <b>39.8%</b>                             | <b>23.1%</b>                          |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

<sup>2</sup> Financial Statement Dollars and Financial Statement PMPMs represent the combined population of CMDP and Non-CMDP children. Financial data was not available for each group separately.

**Title XIX — Children Enrolled in CMDP**

**Demographic Tables**

*Eligibles*

**SFY01**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 00-03         | Female | 0        | 0        | 4                   | 0                  | 0                  | 1                 | 15         | 20         |
|               | Male   | 0        | 2        | 5                   | 2                  | 0                  | 0                 | 19         | 28         |
| 04-10         | Female | 0        | 2        | 7                   | 0                  | 0                  | 0                 | 15         | 24         |
|               | Male   | 0        | 2        | 10                  | 4                  | 0                  | 0                 | 26         | 42         |
| 11-17         | Female | 0        | 1        | 4                   | 5                  | 0                  | 1                 | 31         | 42         |
|               | Male   | 2        | 1        | 15                  | 12                 | 0                  | 2                 | 33         | 65         |
| <b>Totals</b> |        | <b>2</b> | <b>8</b> | <b>45</b>           | <b>23</b>          | <b>0</b>           | <b>4</b>          | <b>139</b> | <b>221</b> |

**SFY02**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 00-03         | Female | 0        | 0        | 9                   | 0                  | 0                  | 1                 | 12         | 22         |
|               | Male   | 0        | 0        | 7                   | 1                  | 0                  | 1                 | 10         | 19         |
| 04-10         | Female | 0        | 4        | 12                  | 0                  | 0                  | 2                 | 18         | 36         |
|               | Male   | 0        | 1        | 6                   | 1                  | 0                  | 2                 | 27         | 37         |
| 11-17         | Female | 0        | 1        | 10                  | 2                  | 0                  | 1                 | 28         | 42         |
|               | Male   | 2        | 0        | 21                  | 8                  | 0                  | 1                 | 35         | 67         |
| <b>Totals</b> |        | <b>2</b> | <b>6</b> | <b>65</b>           | <b>12</b>          | <b>0</b>           | <b>8</b>          | <b>130</b> | <b>223</b> |

**SFY03**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 00-03         | Female | 0        | 0        | 8                   | 1                  | 0                  | 3                 | 14         | 26         |
|               | Male   | 0        | 0        | 14                  | 4                  | 0                  | 0                 | 17         | 35         |
| 04-10         | Female | 0        | 0        | 12                  | 2                  | 0                  | 1                 | 18         | 33         |
|               | Male   | 0        | 1        | 14                  | 2                  | 0                  | 4                 | 26         | 47         |
| 11-17         | Female | 0        | 2        | 13                  | 0                  | 0                  | 3                 | 43         | 61         |
|               | Male   | 2        | 0        | 24                  | 7                  | 0                  | 1                 | 31         | 65         |
| <b>Totals</b> |        | <b>2</b> | <b>3</b> | <b>85</b>           | <b>16</b>          | <b>0</b>           | <b>12</b>         | <b>149</b> | <b>267</b> |

***Enrollees***

**SFY01**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals     |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|------------|
| 00-03         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0          |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0          |
| 04-10         | Female | 0        | 0        | 3                   | 0                  | 0                  | 0                 | 11        | 14         |
|               | Male   | 0        | 2        | 3                   | 1                  | 0                  | 1                 | 15        | 22         |
| 11-17         | Female | 0        | 1        | 4                   | 1                  | 0                  | 0                 | 19        | 25         |
|               | Male   | 1        | 1        | 10                  | 4                  | 0                  | 1                 | 23        | 40         |
| <b>Totals</b> |        | <b>1</b> | <b>4</b> | <b>20</b>           | <b>6</b>           | <b>0</b>           | <b>2</b>          | <b>68</b> | <b>101</b> |

**SFY02**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 00-03         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| 04-10         | Female | 0        | 0        | 2                   | 0                  | 0                  | 0                 | 5         | 7         |
|               | Male   | 0        | 2        | 4                   | 1                  | 0                  | 0                 | 9         | 16        |
| 11-17         | Female | 0        | 0        | 2                   | 1                  | 0                  | 0                 | 16        | 19        |
|               | Male   | 1        | 3        | 11                  | 2                  | 0                  | 2                 | 15        | 34        |
| Unknown       |        | 0        | 0        | 0                   | 0                  | 0                  | 1                 | 0         | 1         |
| <b>Totals</b> |        | <b>1</b> | <b>5</b> | <b>19</b>           | <b>4</b>           | <b>0</b>           | <b>3</b>          | <b>45</b> | <b>77</b> |

**SFY03**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 00-03         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| 04-10         | Female | 0        | 0        | 0                   | 1                  | 0                  | 1                 | 5         | 7         |
|               | Male   | 0        | 3        | 3                   | 1                  | 0                  | 0                 | 7         | 14        |
| 11-17         | Female | 0        | 0        | 1                   | 0                  | 0                  | 1                 | 11        | 13        |
|               | Male   | 0        | 1        | 6                   | 2                  | 0                  | 4                 | 12        | 25        |
| Unknown       |        | 0        | 0        | 0                   | 0                  | 0                  | 1                 | 0         | 1         |
| <b>Totals</b> |        | <b>0</b> | <b>4</b> | <b>10</b>           | <b>4</b>           | <b>0</b>           | <b>7</b>          | <b>35</b> | <b>60</b> |

**Historical Rates<sup>1</sup>**

|   | SFY01    | SFY02    | SFY03    | SFY04    | SFY05 <sup>1</sup> |
|---|----------|----------|----------|----------|--------------------|
| Capitation Rate                             | \$ 19.42 | \$ 30.01 | \$ 31.71 | \$ 37.28 | \$ 937.31          |
| Annual Percentage Change over<br>Prior Year | –        | 54.53%   | 5.66%    | 17.57%   | 2414.24%           |

<sup>1</sup> Capitation rates shown for SFY01-SFY04 represent rates paid for the entire Title XIX Children’s population. SFY05 was the first year capitation rates were developed specifically for the CMDP population. The combined SFY05 All Children rate is \$52.76.

## Encounter Data Reports

### SFY01

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|
| Treatment Services             | 2,891         | 144              | 6,474              | \$ 108,676                  | 26,874                         | \$ 16.79                 | \$ 37.59            |
| Rehabilitation Services        | 2,891         | 4                | 1,250              | \$ 30,252                   | 5,188                          | \$ 24.20                 | \$ 10.46            |
| Medical Services               | 2,891         | 51               | 160                | \$ 7,707                    | 665                            | \$ 48.13                 | \$ 2.67             |
| Support Services               | 2,891         | 58               | 62,930             | \$ 690,550                  | 261,208                        | \$ 10.97                 | \$ 238.86           |
| Crisis Intervention Services   | 2,891         | 6                | 101                | \$ 2,293                    | 421                            | \$ 22.60                 | \$ 0.79             |
| Inpatient Services             | 2,891         | 18               | 9,981              | \$ 250,421                  | 41,429                         | \$ 25.09                 | \$ 86.62            |
| Residential Services           | 2,891         | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                |
| Behavioral Health Day Programs | 2,891         | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                |
| Medications                    | 2,891         | 44               | 440                | \$ 26,112                   | 1,828                          | \$ 59.29                 | \$ 9.03             |
| <b>Total</b>                   | <b>2,891</b>  | <b>160</b>       | <b>81,337</b>      | <b>\$1,116,011</b>          | <b>337,614</b>                 | <b>\$ 13.72</b>          | <b>\$ 386.03</b>    |

### SFY02

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|
| Treatment Services             | 2,921         | 159              | 6,637              | \$ 147,014                  | 27,265                         | \$ 22.15                 | \$ 50.33            |
| Rehabilitation Services        | 2,921         | 15               | 630                | \$ 13,238                   | 2,588                          | \$ 21.01                 | \$ 4.53             |
| Medical Services               | 2,921         | 52               | 185                | \$ 8,585                    | 758                            | \$ 46.51                 | \$ 2.94             |
| Support Services               | 2,921         | 168              | 20,988             | \$ 315,112                  | 86,219                         | \$ 15.01                 | \$ 107.88           |
| Crisis Intervention Services   | 2,921         | 7                | 49                 | \$ 2,751                    | 201                            | \$ 56.14                 | \$ 0.94             |
| Inpatient Services             | 2,921         | 31               | 2,795              | \$ 613,974                  | 11,482                         | \$ 219.67                | \$ 210.19           |
| Residential Services           | 2,921         | 30               | 1,900              | \$ 221,712                  | 7,805                          | \$ 116.69                | \$ 75.90            |
| Behavioral Health Day Programs | 2,921         | 2                | 56                 | \$ 2,812                    | 230                            | \$ 50.21                 | \$ 0.96             |
| Medications                    | 2,921         | 75               | 898                | \$ 65,103                   | 3,690                          | \$ 72.48                 | \$ 22.29            |
| <b>Total</b>                   | <b>2,921</b>  | <b>198</b>       | <b>34,137</b>      | <b>\$1,390,300</b>          | <b>140,239</b>                 | <b>\$ 40.73</b>          | <b>\$ 475.96</b>    |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|
| Treatment Services             | 1.0%          | 10.4%            | 2.5%               | 35.3%                       | 1.5%                           | 32.0%                    | 33.9%               |
| Rehabilitation Services        | 1.0%          | 275.0%           | -49.6%             | -56.2%                      | -50.1%                         | -13.2%                   | -56.7%              |
| Medical Services               | 1.0%          | 2.0%             | 15.3%              | 11.4%                       | 14.1%                          | -3.4%                    | 10.2%               |
| Support Services               | 1.0%          | 189.7%           | -66.6%             | -54.4%                      | -67.0%                         | 36.8%                    | -54.8%              |
| Crisis Intervention Services   | 1.0%          | 16.7%            | -51.7%             | 20.0%                       | -52.2%                         | 148.4%                   | 18.7%               |
| Inpatient Services             | 1.0%          | 72.2%            | -72.0%             | 145.2%                      | -72.3%                         | 775.5%                   | 142.7%              |
| Residential Services           | 1.0%          | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 |
| Behavioral Health Day Programs | 1.0%          | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 |
| Medications                    | 1.0%          | 70.5%            | 104.0%             | 149.3%                      | 101.9%                         | 22.2%                    | 146.8%              |
| <b>Total</b>                   | <b>1.0%</b>   | <b>23.8%</b>     | <b>-58.0%</b>      | <b>24.6%</b>                | <b>-58.5%</b>                  | <b>196.8%</b>            | <b>23.3%</b>        |

**SFY03**

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|
| Treatment Services             | 3,025         | 206              | 9,759              | \$ 235,510                  | 38,707                         | \$ 24.13                 | \$ 77.84            |
| Rehabilitation Services        | 3,025         | 10               | 151                | \$ 1,637                    | 599                            | \$ 10.85                 | \$ 0.54             |
| Medical Services               | 3,025         | 51               | 191                | \$ 8,504                    | 758                            | \$ 44.50                 | \$ 2.81             |
| Support Services               | 3,025         | 225              | 43,991             | \$ 506,665                  | 174,488                        | \$ 11.52                 | \$ 167.47           |
| Crisis Intervention Services   | 3,025         | 14               | 73                 | \$ 4,088                    | 290                            | \$ 55.84                 | \$ 1.35             |
| Inpatient Services             | 3,025         | 23               | 1,103              | \$ 295,134                  | 4,375                          | \$ 267.56                | \$ 97.55            |
| Residential Services           | 3,025         | 37               | 3,214              | \$ 421,234                  | 12,749                         | \$ 131.05                | \$ 139.23           |
| Behavioral Health Day Programs | 3,025         | 3                | 44                 | \$ 4,429                    | 175                            | \$ 100.13                | \$ 1.46             |
| Medications                    | 3,025         | 87               | 1,143              | \$ 99,048                   | 4,532                          | \$ 86.68                 | \$ 32.74            |
| <b>Total</b>                   | <b>3,025</b>  | <b>233</b>       | <b>59,669</b>      | <b>\$ 1,576,249</b>         | <b>236,674</b>                 | <b>\$ 26.42</b>          | <b>\$ 521.01</b>    |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY02 – SFY03**

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|
| Treatment Services             | 3.6%          | 29.6%            | 47.0%              | 60.2%                       | 42.0%                          | 8.9%                     | 54.7%               |
| Rehabilitation Services        | 3.6%          | -33.3%           | -76.0%             | -87.6%                      | -76.9%                         | -48.4%                   | -88.1%              |
| Medical Services               | 3.6%          | -1.9%            | 3.5%               | -0.9%                       | 0.0%                           | -4.3%                    | -4.4%               |
| Support Services               | 3.6%          | 33.9%            | 109.6%             | 60.8%                       | 102.4%                         | -23.3%                   | 55.2%               |
| Crisis Intervention Services   | 3.6%          | 100.0%           | 49.4%              | 48.6%                       | 44.3%                          | -0.5%                    | 43.5%               |
| Inpatient Services             | 3.6%          | -25.8%           | -60.5%             | -51.9%                      | -61.9%                         | 21.8%                    | -53.6%              |
| Residential Services           | 3.6%          | 23.3%            | 69.2%              | 90.0%                       | 63.3%                          | 12.3%                    | 83.4%               |
| Behavioral Health Day Programs | 3.6%          | 50.0%            | -21.0%             | 57.5%                       | -23.7%                         | 99.4%                    | 52.1%               |
| Medications                    | 3.6%          | 16.0%            | 27.2%              | 52.1%                       | 22.8%                          | 19.6%                    | 46.9%               |
| <b>Total</b>                   | <b>3.6%</b>   | <b>17.7%</b>     | <b>74.8%</b>       | <b>13.4%</b>                | <b>68.8%</b>                   | <b>-35.1%</b>            | <b>9.5%</b>         |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

## Title XIX — SMI Adults

### Demographic Tables

#### *Eligibles*

##### SFY01\*

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 18-39         | Female | 6         | 175        | 1,317               | 1,079              | 0                  | 49                | 1,541        | 4,167         |
|               | Male   | 3         | 79         | 392                 | 411                | 0                  | 55                | 573          | 1,513         |
| 40-64         | Female | 7         | 100        | 419                 | 530                | 0                  | 152               | 942          | 2,150         |
|               | Male   | 3         | 66         | 286                 | 324                | 0                  | 59                | 609          | 1,347         |
| 65 +          | Female | 5         | 31         | 184                 | 169                | 0                  | 131               | 382          | 902           |
|               | Male   | 6         | 19         | 108                 | 64                 | 0                  | 29                | 148          | 374           |
| <b>Totals</b> |        | <b>30</b> | <b>470</b> | <b>2,706</b>        | <b>2,577</b>       | <b>0</b>           | <b>475</b>        | <b>4,195</b> | <b>10,453</b> |

\* AHCCCS adult eligibility numbers are the same for both the SMI and GMH/SA populations.

##### SFY02\*

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 18-39         | Female | 16        | 223        | 2,087               | 1,678              | 0                  | 63                | 2,437        | 6,504         |
|               | Male   | 8         | 155        | 1,068               | 1,062              | 0                  | 68                | 1,311        | 3,672         |
| 40-64         | Female | 8         | 139        | 822                 | 883                | 0                  | 216               | 1,471        | 3,539         |
|               | Male   | 6         | 128        | 688                 | 650                | 0                  | 81                | 1,111        | 2,664         |
| 65 +          | Female | 5         | 31         | 222                 | 188                | 0                  | 151               | 438          | 1,035         |
|               | Male   | 7         | 18         | 147                 | 82                 | 0                  | 43                | 166          | 463           |
| <b>Totals</b> |        | <b>50</b> | <b>694</b> | <b>5,034</b>        | <b>4,543</b>       | <b>0</b>           | <b>622</b>        | <b>6,934</b> | <b>17,877</b> |

\* AHCCCS adult eligibility numbers are the same for both the SMI and GMH/SA populations.

**SFY03\***

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 18-39         | Female | 26        | 256        | 2,335               | 1,850              | 0                  | 56                | 2,802        | 7,325         |
|               | Male   | 13        | 178        | 1,325               | 1,256              | 0                  | 53                | 1,668        | 4,493         |
| 40-64         | Female | 13        | 151        | 923                 | 960                | 0                  | 210               | 1,805        | 4,062         |
|               | Male   | 11        | 129        | 856                 | 691                | 0                  | 85                | 1,391        | 3,163         |
| 65 +          | Female | 7         | 31         | 229                 | 188                | 0                  | 169               | 500          | 1,124         |
|               | Male   | 6         | 19         | 157                 | 87                 | 0                  | 57                | 212          | 538           |
| <b>Totals</b> |        | <b>76</b> | <b>764</b> | <b>5,825</b>        | <b>5,032</b>       | <b>0</b>           | <b>630</b>        | <b>8,378</b> | <b>20,705</b> |

\* AHCCCS adult eligibility numbers are the same for both the SMI and GMH/SA populations.

***Enrollees***

**SFY01**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 1        | 3         | 5                   | 2                  | 0                  | 0                 | 35         | 46         |
|               | Male   | 0        | 4         | 10                  | 0                  | 0                  | 0                 | 50         | 64         |
| 40-64         | Female | 2        | 6         | 26                  | 1                  | 0                  | 0                 | 98         | 133        |
|               | Male   | 1        | 2         | 3                   | 1                  | 0                  | 0                 | 33         | 40         |
| 65+           | Female | 0        | 0         | 3                   | 0                  | 0                  | 0                 | 6          | 9          |
|               | Male   | 0        | 0         | 1                   | 0                  | 0                  | 0                 | 1          | 2          |
| <b>Totals</b> |        | <b>4</b> | <b>15</b> | <b>48</b>           | <b>4</b>           | <b>0</b>           | <b>0</b>          | <b>223</b> | <b>294</b> |

**SFY02**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 1        | 1         | 6                   | 3                  | 0                  | 0                 | 48         | 59         |
|               | Male   | 2        | 7         | 12                  | 2                  | 0                  | 1                 | 48         | 72         |
| 40-64         | Female | 3        | 12        | 28                  | 6                  | 0                  | 0                 | 112        | 161        |
|               | Male   | 1        | 6         | 11                  | 1                  | 0                  | 0                 | 46         | 65         |
| 65+           | Female | 0        | 0         | 4                   | 0                  | 0                  | 0                 | 6          | 10         |
|               | Male   | 0        | 0         | 1                   | 0                  | 0                  | 0                 | 1          | 2          |
| <b>Totals</b> |        | <b>7</b> | <b>26</b> | <b>62</b>           | <b>12</b>          | <b>0</b>           | <b>1</b>          | <b>261</b> | <b>369</b> |

**SFY03**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 0        | 4         | 5                   | 3                  | 0                  | 2                 | 43         | 57         |
|               | Male   | 1        | 9         | 11                  | 2                  | 0                  | 2                 | 50         | 75         |
| 40-64         | Female | 3        | 9         | 27                  | 4                  | 0                  | 5                 | 126        | 174        |
|               | Male   | 2        | 9         | 13                  | 2                  | 0                  | 1                 | 51         | 78         |
| 65+           | Female | 0        | 0         | 3                   | 0                  | 0                  | 0                 | 7          | 10         |
|               | Male   | 0        | 0         | 2                   | 0                  | 0                  | 0                 | 1          | 3          |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 12                | 0          | 12         |
| <b>Totals</b> |        | <b>6</b> | <b>31</b> | <b>61</b>           | <b>11</b>          | <b>0</b>           | <b>22</b>         | <b>278</b> | <b>409</b> |

**Historical Rates**

|   | SFY01    | SFY02    | SFY03    | SFY04    | SFY05    |
|---|----------|----------|----------|----------|----------|
| Capitation Rate                             | \$ 48.79 | \$ 54.60 | \$ 46.16 | \$ 42.28 | \$ 39.00 |
| Annual Percentage Change over<br>Prior Year | -        | 11.91%   | -15.46%  | -8.41%   | -7.76%   |

## Encounter and Financial Data Reports

### SFY01

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 97,085        | 339              | 34,873             | \$ 387,219                  | 4,310                          | \$ 11.10                 | \$ 3.99             | \$ 392,253                  | \$ 4.04                  |
| Rehabilitation Services        | 97,085        | 45               | 11,756             | \$ 151,278                  | 1,453                          | \$ 12.87                 | \$ 1.56             | \$ 960,246                  | \$ 9.89                  |
| Medical Services               | 97,085        | 373              | 4,032              | \$ 122,090                  | 498                            | \$ 30.28                 | \$ 1.26             | \$ 1,071                    | \$ 0.01                  |
| Support Services               | 97,085        | 309              | 180,574            | \$2,264,408                 | 22,320                         | \$ 12.54                 | \$ 23.32            | \$ 742,814                  | \$ 7.65                  |
| Crisis Intervention Services   | 97,085        | 65               | 1,452              | \$ 29,774                   | 179                            | \$ 20.51                 | \$ 0.31             | \$ 30,525                   | \$ 0.31                  |
| Inpatient Services             | 97,085        | 13               | 306                | \$ 59,643                   | 38                             | \$ 194.78                | \$ 0.61             | \$ 25,729                   | \$ 0.27                  |
| Residential Services           | 97,085        | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                | \$ -                        | \$ -                     |
| Behavioral Health Day Programs | 97,085        | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                | \$ 624,579                  | \$ 6.43                  |
| Medications                    | 97,085        | 410              | 8,952              | \$ 710,983                  | 1,106                          | \$ 79.42                 | \$ 7.32             | \$ 853,767                  | \$ 8.79                  |
| <b>Total</b>                   | <b>97,085</b> | <b>468</b>       | <b>241,946</b>     | <b>\$3,725,395</b>          | <b>29,905</b>                  | <b>\$ 15.40</b>          | <b>\$ 38.37</b>     | <b>\$3,630,984</b>          | <b>\$ 37.40</b>          |

### SFY02

| Category of Service            | Member Months  | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|----------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 178,650        | 641              | 37,072             | \$ 546,032                  | 2,490                          | \$ 14.73                 | \$ 3.06             | \$ 703,746                  | \$ 3.94                  |
| Rehabilitation Services        | 178,650        | 103              | 16,355             | \$ 155,122                  | 1,099                          | \$ 9.48                  | \$ 0.87             | \$ 77,322                   | \$ 0.43                  |
| Medical Services               | 178,650        | 603              | 5,382              | \$ 199,558                  | 361                            | \$ 37.08                 | \$ 1.12             | \$ 199,833                  | \$ 1.12                  |
| Support Services               | 178,650        | 680              | 221,896            | \$1,902,620                 | 14,905                         | \$ 8.57                  | \$ 10.65            | \$1,922,441                 | \$ 10.76                 |
| Crisis Intervention Services   | 178,650        | 133              | 1,494              | \$ 68,244                   | 100                            | \$ 45.69                 | \$ 0.38             | \$ 100,670                  | \$ 0.56                  |
| Inpatient Services             | 178,650        | 70               | 1,184              | \$ 267,102                  | 80                             | \$ 225.50                | \$ 1.50             | \$ 386,171                  | \$ 2.16                  |
| Residential Services           | 178,650        | 57               | 6,428              | \$ 836,780                  | 432                            | \$ 130.18                | \$ 4.68             | \$ 986,354                  | \$ 5.52                  |
| Behavioral Health Day Programs | 178,650        | 87               | 4,681              | \$ 376,151                  | 314                            | \$ 80.36                 | \$ 2.11             | \$ 623,001                  | \$ 3.49                  |
| Medications                    | 178,650        | 617              | 12,741             | \$ 959,416                  | 856                            | \$ 75.30                 | \$ 5.37             | \$1,223,587                 | \$ 6.85                  |
| <b>Total</b>                   | <b>178,650</b> | <b>713</b>       | <b>307,233</b>     | <b>\$5,311,025</b>          | <b>20,637</b>                  | <b>\$ 17.29</b>          | <b>\$ 29.73</b>     | <b>\$6,223,125</b>          | <b>\$ 34.83</b>          |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 84.0%         | 89.1%            | 6.3%               | 41.0%                       | -42.2%                         | 32.7%                    | -23.4%              | 79.4%                       | -2.5%                    |
| Rehabilitation Services        | 84.0%         | 128.9%           | 39.1%              | 2.5%                        | -24.4%                         | -26.3%                   | -44.3%              | -91.9%                      | -95.6%                   |
| Medical Services               | 84.0%         | 61.7%            | 33.5%              | 63.5%                       | -27.5%                         | 22.5%                    | -11.2%              | 18558.5%                    | 10039.7%                 |
| Support Services               | 84.0%         | 120.1%           | 22.9%              | -16.0%                      | -33.2%                         | -31.6%                   | -54.3%              | 158.8%                      | 40.6%                    |
| Crisis Intervention Services   | 84.0%         | 104.6%           | 2.9%               | 129.2%                      | -44.1%                         | 122.8%                   | 24.6%               | 229.8%                      | 79.2%                    |
| Inpatient Services             | 84.0%         | 438.5%           | 286.8%             | 347.8%                      | 110.2%                         | 15.8%                    | 143.4%              | 1400.9%                     | 715.7%                   |
| Residential Services           | 84.0%         | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 | N/A                         | N/A                      |
| Behavioral Health Day Programs | 84.0%         | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 | -0.3%                       | -45.8%                   |
| Medications                    | 84.0%         | 50.5%            | 42.3%              | 34.9%                       | -22.7%                         | -5.2%                    | -26.7%              | 43.3%                       | -22.1%                   |
| <b>Total</b>                   | <b>84.0%</b>  | <b>52.4%</b>     | <b>27.0%</b>       | <b>42.6%</b>                | <b>-31.0%</b>                  | <b>12.3%</b>             | <b>-22.5%</b>       | <b>71.4%</b>                | <b>-6.9%</b>             |

**SFY03**

| Category of Service            | Member Months  | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|----------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 235,143        | 666              | 33,294             | \$ 584,387                  | 1,699                          | \$ 17.55                 | \$ 2.49             | \$ 656,164                  | \$ 2.79                  |
| Rehabilitation Services        | 235,143        | 120              | 19,963             | \$ 189,927                  | 1,019                          | \$ 9.51                  | \$ 0.81             | \$ 170,878                  | \$ 0.73                  |
| Medical Services               | 235,143        | 583              | 4,952              | \$ 168,859                  | 253                            | \$ 34.10                 | \$ 0.72             | \$ 271,114                  | \$ 1.15                  |
| Support Services               | 235,143        | 723              | 244,712            | \$ 2,130,654                | 12,488                         | \$ 8.71                  | \$ 9.06             | \$ 2,707,535                | \$ 11.51                 |
| Crisis Intervention Services   | 235,143        | 148              | 1,452              | \$ 84,917                   | 74                             | \$ 58.47                 | \$ 0.36             | \$ 156,959                  | \$ 0.67                  |
| Inpatient Services             | 235,143        | 67               | 1,135              | \$ 278,257                  | 58                             | \$ 245.22                | \$ 1.18             | \$ 999,254                  | \$ 4.25                  |
| Residential Services           | 235,143        | 53               | 8,844              | \$ 1,366,115                | 451                            | \$ 154.47                | \$ 5.81             | \$ 1,830,219                | \$ 7.78                  |
| Behavioral Health Day Programs | 235,143        | 82               | 6,268              | \$ 490,175                  | 320                            | \$ 78.20                 | \$ 2.08             | \$ 595,019                  | \$ 2.53                  |
| Medications                    | 235,143        | 668              | 14,744             | \$ 1,398,882                | 752                            | \$ 94.88                 | \$ 5.95             | \$ 1,861,981                | \$ 7.92                  |
| <b>Total</b>                   | <b>235,143</b> | <b>750</b>       | <b>335,363</b>     | <b>\$ 6,692,173</b>         | <b>17,115</b>                  | <b>\$ 19.95</b>          | <b>\$ 28.46</b>     | <b>\$ 9,249,123</b>         | <b>\$ 39.33</b>          |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY02 – SFY03**

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 31.6%         | 3.9%             | -10.2%             | 7.0%                        | -31.8%                         | 19.2%                    | -18.7%              | -6.8%                       | -29.2%                   |
| Rehabilitation Services        | 31.6%         | 16.5%            | 22.1%              | 22.4%                       | -7.3%                          | 0.3%                     | -7.0%               | 121.0%                      | 67.9%                    |
| Medical Services               | 31.6%         | -3.3%            | -8.0%              | -15.4%                      | -30.1%                         | -8.0%                    | -35.7%              | 35.7%                       | 3.1%                     |
| Support Services               | 31.6%         | 6.3%             | 10.3%              | 12.0%                       | -16.2%                         | 1.5%                     | -14.9%              | 40.8%                       | 7.0%                     |
| Crisis Intervention Services   | 31.6%         | 11.3%            | -2.8%              | 24.4%                       | -26.1%                         | 28.0%                    | -5.5%               | 55.9%                       | 18.5%                    |
| Inpatient Services             | 31.6%         | -4.3%            | -4.2%              | 4.2%                        | -27.2%                         | 8.7%                     | -20.9%              | 158.8%                      | 96.6%                    |
| Residential Services           | 31.6%         | -7.0%            | 37.6%              | 63.3%                       | 4.5%                           | 18.7%                    | 24.0%               | 85.6%                       | 41.0%                    |
| Behavioral Health Day Programs | 31.6%         | -5.7%            | 33.9%              | 30.3%                       | 1.7%                           | -2.7%                    | -1.0%               | -4.5%                       | -27.4%                   |
| Medications                    | 31.6%         | 8.3%             | 15.7%              | 45.8%                       | -12.1%                         | 26.0%                    | 10.8%               | 52.2%                       | 15.6%                    |
| <b>Total</b>                   | <b>31.6%</b>  | <b>5.2%</b>      | <b>9.2%</b>        | <b>26.0%</b>                | <b>-17.1%</b>                  | <b>15.4%</b>             | <b>-4.3%</b>        | <b>48.6%</b>                | <b>12.9%</b>             |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

## Title XIX — GMH/SA Adults

### Demographic Tables

#### *Eligibles*

##### SFY01\*

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 18-39         | Female | 6         | 175        | 1,317               | 1,079              | 0                  | 49                | 1,541        | 4,167         |
|               | Male   | 3         | 79         | 392                 | 411                | 0                  | 55                | 573          | 1,513         |
| 40-64         | Female | 7         | 100        | 419                 | 530                | 0                  | 152               | 942          | 2,150         |
|               | Male   | 3         | 66         | 286                 | 324                | 0                  | 59                | 609          | 1,347         |
| 65 +          | Female | 5         | 31         | 184                 | 169                | 0                  | 131               | 382          | 902           |
|               | Male   | 6         | 19         | 108                 | 64                 | 0                  | 29                | 148          | 374           |
| <b>Totals</b> |        | <b>30</b> | <b>470</b> | <b>2,706</b>        | <b>2,577</b>       | <b>0</b>           | <b>475</b>        | <b>4,195</b> | <b>10,453</b> |

\* AHCCCS adult eligibility numbers are the same for both the SMI and GMH/SA populations.

##### SFY02\*

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 18-39         | Female | 16        | 223        | 2,087               | 1,678              | 0                  | 63                | 2,437        | 6,504         |
|               | Male   | 8         | 155        | 1,068               | 1,062              | 0                  | 68                | 1,311        | 3,672         |
| 40-64         | Female | 8         | 139        | 822                 | 883                | 0                  | 216               | 1,471        | 3,539         |
|               | Male   | 6         | 128        | 688                 | 650                | 0                  | 81                | 1,111        | 2,664         |
| 65 +          | Female | 5         | 31         | 222                 | 188                | 0                  | 151               | 438          | 1,035         |
|               | Male   | 7         | 18         | 147                 | 82                 | 0                  | 43                | 166          | 463           |
| <b>Totals</b> |        | <b>50</b> | <b>694</b> | <b>5,034</b>        | <b>4,543</b>       | <b>0</b>           | <b>622</b>        | <b>6,934</b> | <b>17,877</b> |

\* AHCCCS adult eligibility numbers are the same for both the SMI and GMH/SA populations.

**SFY03\***

| Age           | Gender | Asian     | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals        |
|---------------|--------|-----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|---------------|
| 18-39         | Female | 26        | 256        | 2,335               | 1,850              | 0                  | 56                | 2,802        | 7,325         |
|               | Male   | 13        | 178        | 1,325               | 1,256              | 0                  | 53                | 1,668        | 4,493         |
| 40-64         | Female | 13        | 151        | 923                 | 960                | 0                  | 210               | 1,805        | 4,062         |
|               | Male   | 11        | 129        | 856                 | 691                | 0                  | 85                | 1,391        | 3,163         |
| 65 +          | Female | 7         | 31         | 229                 | 188                | 0                  | 169               | 500          | 1,124         |
|               | Male   | 6         | 19         | 157                 | 87                 | 0                  | 57                | 212          | 538           |
| <b>Totals</b> |        | <b>76</b> | <b>764</b> | <b>5,825</b>        | <b>5,032</b>       | <b>0</b>           | <b>630</b>        | <b>8,378</b> | <b>20,705</b> |

\* AHCCCS adult eligibility numbers are the same for both the SMI and GMH/SA populations.

***Enrollees***

**SFY01**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 0        | 10        | 104                 | 12                 | 0                  | 1                 | 219        | 346        |
|               | Male   | 0        | 12        | 39                  | 5                  | 0                  | 1                 | 91         | 148        |
| 40-64         | Female | 2        | 15        | 45                  | 6                  | 0                  | 1                 | 172        | 241        |
|               | Male   | 0        | 4         | 29                  | 6                  | 0                  | 2                 | 80         | 121        |
| 65+           | Female | 0        | 0         | 8                   | 0                  | 0                  | 0                 | 12         | 20         |
|               | Male   | 0        | 1         | 3                   | 0                  | 0                  | 0                 | 1          | 5          |
| <b>Totals</b> |        | <b>2</b> | <b>42</b> | <b>228</b>          | <b>29</b>          | <b>0</b>           | <b>5</b>          | <b>575</b> | <b>881</b> |

**SFY02**

| Age           | Gender | Asian    | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals       |
|---------------|--------|----------|------------|---------------------|--------------------|--------------------|-------------------|------------|--------------|
| 18-39         | Female | 1        | 59         | 79                  | 31                 | 0                  | 49                | 290        | 509          |
|               | Male   | 1        | 45         | 60                  | 15                 | 1                  | 50                | 169        | 341          |
| 40-64         | Female | 3        | 55         | 53                  | 8                  | 0                  | 17                | 267        | 403          |
|               | Male   | 2        | 24         | 27                  | 8                  | 0                  | 25                | 140        | 226          |
| 65+           | Female | 0        | 0          | 7                   | 0                  | 0                  | 4                 | 20         | 31           |
|               | Male   | 0        | 0          | 6                   | 0                  | 0                  | 0                 | 3          | 9            |
| Unknown       |        | 0        | 0          | 0                   | 0                  | 0                  | 33                | 0          | 33           |
| <b>Totals</b> |        | <b>7</b> | <b>183</b> | <b>232</b>          | <b>62</b>          | <b>1</b>           | <b>178</b>        | <b>889</b> | <b>1,552</b> |

**SFY03**

| Age           | Gender | Asian    | Black      | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White        | Totals       |
|---------------|--------|----------|------------|---------------------|--------------------|--------------------|-------------------|--------------|--------------|
| 18-39         | Female | 1        | 38         | 55                  | 28                 | 0                  | 66                | 346          | 534          |
|               | Male   | 0        | 31         | 42                  | 9                  | 0                  | 63                | 194          | 339          |
| 40-64         | Female | 3        | 41         | 45                  | 12                 | 0                  | 18                | 309          | 428          |
|               | Male   | 2        | 20         | 22                  | 2                  | 0                  | 35                | 161          | 242          |
| 65+           | Female | 0        | 1          | 8                   | 1                  | 0                  | 7                 | 22           | 39           |
|               | Male   | 0        | 1          | 5                   | 0                  | 0                  | 0                 | 9            | 15           |
| Unknown       |        | 0        | 0          | 0                   | 0                  | 0                  | 460               | 0            | 460          |
| <b>Totals</b> |        | <b>6</b> | <b>132</b> | <b>177</b>          | <b>52</b>          | <b>0</b>           | <b>649</b>        | <b>1,041</b> | <b>2,057</b> |

**Historical Rates**

|   | SFY01    | SFY02    | SFY03    | SFY04    | SFY05    |
|---|----------|----------|----------|----------|----------|
| Capitation Rate                             | \$ 12.86 | \$ 16.38 | \$ 20.86 | \$ 34.29 | \$ 45.21 |
| Annual Percentage Change over<br>Prior Year | –        | 27.37%   | 27.35%   | 64.38%   | 31.85%   |

## Encounter and Financial Data Reports

### SFY01

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 97,085        | 1,135            | 42,286             | \$ 662,420                  | 5,227                          | \$ 15.67                 | \$ 6.82             | \$ 626,547                  | \$ 6.45                  |
| Rehabilitation Services        | 97,085        | 5                | 772                | \$ 7,488                    | 95                             | \$ 9.70                  | \$ 0.08             | \$ 85,969                   | \$ 0.89                  |
| Medical Services               | 97,085        | 516              | 2,366              | \$ 84,114                   | 292                            | \$ 35.55                 | \$ 0.87             | \$ 24                       | \$ 0.00                  |
| Support Services               | 97,085        | 426              | 49,109             | \$ 523,663                  | 6,070                          | \$ 10.66                 | \$ 5.39             | \$ 370,413                  | \$ 3.82                  |
| Crisis Intervention Services   | 97,085        | 92               | 1,608              | \$ 34,288                   | 199                            | \$ 21.33                 | \$ 0.35             | \$ 28,824                   | \$ 0.30                  |
| Inpatient Services             | 97,085        | 15               | 607                | \$ 68,086                   | 75                             | \$ 112.13                | \$ 0.70             | \$ 30,554                   | \$ 0.31                  |
| Residential Services           | 97,085        | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                | \$ -                        | \$ -                     |
| Behavioral Health Day Programs | 97,085        | 0                | 0                  | \$ -                        | 0                              | \$ -                     | \$ -                | \$ 7,931                    | \$ 0.08                  |
| Medications                    | 97,085        | 510              | 4,290              | \$ 303,786                  | 530                            | \$ 70.81                 | \$ 3.13             | \$ 496,501                  | \$ 5.11                  |
| <b>Total</b>                   | <b>97,085</b> | <b>1,249</b>     | <b>101,039</b>     | <b>\$1,683,845</b>          | <b>12,489</b>                  | <b>\$ 16.67</b>          | <b>\$ 17.34</b>     | <b>\$1,646,763</b>          | <b>\$ 16.96</b>          |

### SFY02

| Category of Service            | Member Months  | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|----------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 178,650        | 2,135            | 98,149             | \$1,592,099                 | 6,593                          | \$ 16.22                 | \$ 8.91             | \$1,548,260                 | \$ 8.67                  |
| Rehabilitation Services        | 178,650        | 69               | 3,016              | \$ 35,745                   | 203                            | \$ 11.85                 | \$ 0.20             | \$ 44,793                   | \$ 0.25                  |
| Medical Services               | 178,650        | 854              | 4,742              | \$ 173,258                  | 319                            | \$ 36.54                 | \$ 0.97             | \$ 191,146                  | \$ 1.07                  |
| Support Services               | 178,650        | 1,980            | 92,167             | \$ 991,203                  | 6,191                          | \$ 10.75                 | \$ 5.55             | \$1,124,379                 | \$ 6.29                  |
| Crisis Intervention Services   | 178,650        | 226              | 1,793              | \$ 84,733                   | 120                            | \$ 47.25                 | \$ 0.47             | \$ 106,763                  | \$ 0.60                  |
| Inpatient Services             | 178,650        | 132              | 1,273              | \$ 305,510                  | 85                             | \$ 240.05                | \$ 1.71             | \$ 388,564                  | \$ 2.18                  |
| Residential Services           | 178,650        | 58               | 1,834              | \$ 230,375                  | 123                            | \$ 125.61                | \$ 1.29             | \$ 270,574                  | \$ 1.51                  |
| Behavioral Health Day Programs | 178,650        | 9                | 246                | \$ 18,638                   | 17                             | \$ 75.76                 | \$ 0.10             | \$ 23,543                   | \$ 0.13                  |
| Medications                    | 178,650        | 953              | 9,131              | \$ 587,837                  | 613                            | \$ 64.38                 | \$ 3.29             | \$ 782,976                  | \$ 4.38                  |
| <b>Total</b>                   | <b>178,650</b> | <b>2,351</b>     | <b>212,352</b>     | <b>\$4,019,396</b>          | <b>14,264</b>                  | <b>\$ 18.93</b>          | <b>\$ 22.50</b>     | <b>\$4,480,998</b>          | <b>\$ 25.08</b>          |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY01 – SFY02**

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 84.0%         | 88.1%            | 132.1%             | 140.3%                      | 26.1%                          | 3.6%                     | 30.6%               | 147.1%                      | 34.3%                    |
| Rehabilitation Services        | 84.0%         | 1280.0%          | 290.6%             | 377.4%                      | 112.3%                         | 22.2%                    | 159.4%              | -47.9%                      | -71.7%                   |
| Medical Services               | 84.0%         | 65.5%            | 100.4%             | 106.0%                      | 8.9%                           | 2.8%                     | 11.9%               | 796341.7%                   | 432715.8%                |
| Support Services               | 84.0%         | 364.8%           | 87.7%              | 89.3%                       | 2.0%                           | 0.9%                     | 2.9%                | 203.5%                      | 65.0%                    |
| Crisis Intervention Services   | 84.0%         | 145.7%           | 11.5%              | 147.1%                      | -39.4%                         | 121.5%                   | 34.3%               | 270.4%                      | 101.3%                   |
| Inpatient Services             | 84.0%         | 780.0%           | 109.6%             | 348.7%                      | 13.9%                          | 114.1%                   | 143.8%              | 1171.7%                     | 591.1%                   |
| Residential Services           | 84.0%         | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 | N/A                         | N/A                      |
| Behavioral Health Day Programs | 84.0%         | N/A              | N/A                | N/A                         | N/A                            | N/A                      | N/A                 | 196.8%                      | 61.3%                    |
| Medications                    | 84.0%         | 86.9%            | 112.8%             | 93.5%                       | 15.7%                          | -9.1%                    | 5.2%                | 57.7%                       | -14.3%                   |
| <b>Total</b>                   | <b>84.0%</b>  | <b>88.2%</b>     | <b>110.2%</b>      | <b>138.7%</b>               | <b>14.2%</b>                   | <b>13.6%</b>             | <b>29.7%</b>        | <b>172.1%</b>               | <b>47.9%</b>             |

**SFY03**

| Category of Service            | Member Months  | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|----------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 235,143        | 3,097            | 148,488            | \$ 2,472,754                | 7,578                          | \$ 16.65                 | \$ 10.52            | \$ 2,614,464                | \$ 11.12                 |
| Rehabilitation Services        | 235,143        | 274              | 11,410             | \$ 176,753                  | 582                            | \$ 15.49                 | \$ 0.75             | \$ 117,036                  | \$ 0.50                  |
| Medical Services               | 235,143        | 796              | 3,032              | \$ 127,484                  | 155                            | \$ 42.04                 | \$ 0.54             | \$ 246,822                  | \$ 1.05                  |
| Support Services               | 235,143        | 3,219            | 145,484            | \$ 1,570,304                | 7,424                          | \$ 10.79                 | \$ 6.68             | \$ 1,794,862                | \$ 7.63                  |
| Crisis Intervention Services   | 235,143        | 528              | 3,637              | \$ 210,812                  | 186                            | \$ 57.96                 | \$ 0.90             | \$ 283,268                  | \$ 1.20                  |
| Inpatient Services             | 235,143        | 136              | 1,572              | \$ 335,093                  | 80                             | \$ 213.21                | \$ 1.43             | \$ 973,626                  | \$ 4.14                  |
| Residential Services           | 235,143        | 69               | 2,607              | \$ 389,681                  | 133                            | \$ 149.49                | \$ 1.66             | \$ 333,452                  | \$ 1.42                  |
| Behavioral Health Day Programs | 235,143        | 35               | 701                | \$ 43,097                   | 36                             | \$ 61.52                 | \$ 0.18             | \$ 21,196                   | \$ 0.09                  |
| Medications                    | 235,143        | 1,296            | 12,783             | \$ 1,073,279                | 652                            | \$ 83.96                 | \$ 4.56             | \$ 1,405,222                | \$ 5.98                  |
| <b>Total</b>                   | <b>235,143</b> | <b>3,523</b>     | <b>329,713</b>     | <b>\$ 6,399,258</b>         | <b>16,826</b>                  | <b>\$ 19.41</b>          | <b>\$ 27.21</b>     | <b>\$ 7,789,948</b>         | <b>\$ 33.13</b>          |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY02 – SFY03**

| Category of Service            | Member Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Members | Average Cost per Service | Encounter Cost PMPM | Financial Statement Dollars | Financial Statement PMPM |
|--------------------------------|---------------|------------------|--------------------|-----------------------------|--------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 31.6%         | 45.1%            | 51.3%              | 55.3%                       | 14.9%                          | 2.7%                     | 18.0%               | 68.9%                       | 28.3%                    |
| Rehabilitation Services        | 31.6%         | 297.1%           | 278.3%             | 394.5%                      | 187.4%                         | 30.7%                    | 275.7%              | 161.3%                      | 98.5%                    |
| Medical Services               | 31.6%         | -6.8%            | -36.1%             | -26.4%                      | -51.4%                         | 15.1%                    | -44.1%              | 29.1%                       | -1.9%                    |
| Support Services               | 31.6%         | 62.6%            | 57.8%              | 58.4%                       | 19.9%                          | 0.4%                     | 20.4%               | 59.6%                       | 21.3%                    |
| Crisis Intervention Services   | 31.6%         | 133.6%           | 102.8%             | 148.8%                      | 54.1%                          | 22.7%                    | 89.0%               | 165.3%                      | 101.6%                   |
| Inpatient Services             | 31.6%         | 3.0%             | 23.5%              | 9.7%                        | -6.2%                          | -11.2%                   | -16.7%              | 150.6%                      | 90.4%                    |
| Residential Services           | 31.6%         | 19.0%            | 42.1%              | 69.2%                       | 8.0%                           | 19.0%                    | 28.5%               | 23.2%                       | -6.4%                    |
| Behavioral Health Day Programs | 31.6%         | 288.9%           | 184.8%             | 131.2%                      | 116.4%                         | -18.8%                   | 75.7%               | -10.0%                      | -31.6%                   |
| Medications                    | 31.6%         | 36.0%            | 40.0%              | 82.6%                       | 6.4%                           | 30.4%                    | 38.7%               | 79.5%                       | 36.4%                    |
| <b>Total</b>                   | <b>31.6%</b>  | <b>49.9%</b>     | <b>55.3%</b>       | <b>59.2%</b>                | <b>18.0%</b>                   | <b>2.5%</b>              | <b>21.0%</b>        | <b>73.8%</b>                | <b>32.1%</b>             |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Title XXI — Children**

**Demographic Tables**

*Eligibles*

**SFY01**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals       |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|--------------|
| 00-03         | Female | 0        | 2         | 58                  | 27                 | 0                  | 5                 | 66         | 158          |
|               | Male   | 0        | 1         | 66                  | 26                 | 0                  | 8                 | 60         | 161          |
| 04-10         | Female | 1        | 11        | 183                 | 65                 | 0                  | 11                | 141        | 412          |
|               | Male   | 2        | 5         | 214                 | 69                 | 0                  | 15                | 144        | 449          |
| 11-17         | Female | 0        | 7         | 150                 | 96                 | 0                  | 5                 | 153        | 411          |
|               | Male   | 1        | 14        | 152                 | 88                 | 0                  | 14                | 149        | 418          |
| <b>Totals</b> |        | <b>4</b> | <b>40</b> | <b>823</b>          | <b>371</b>         | <b>0</b>           | <b>58</b>         | <b>713</b> | <b>2,009</b> |

**SFY02**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals       |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|--------------|
| 00-03         | Female | 1        | 1         | 52                  | 18                 | 0                  | 6                 | 40         | 118          |
|               | Male   | 1        | 0         | 65                  | 14                 | 0                  | 6                 | 59         | 145          |
| 04-10         | Female | 2        | 3         | 163                 | 47                 | 0                  | 17                | 102        | 334          |
|               | Male   | 2        | 3         | 175                 | 43                 | 0                  | 12                | 106        | 341          |
| 11-17         | Female | 0        | 5         | 143                 | 69                 | 0                  | 13                | 134        | 364          |
|               | Male   | 1        | 8         | 111                 | 63                 | 0                  | 14                | 154        | 351          |
| <b>Totals</b> |        | <b>7</b> | <b>20</b> | <b>709</b>          | <b>254</b>         | <b>0</b>           | <b>68</b>         | <b>595</b> | <b>1,653</b> |

**SFY03**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals       |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|--------------|
| 00-03         | Female | 0        | 3         | 51                  | 18                 | 0                  | 29                | 37         | 138          |
|               | Male   | 0        | 0         | 51                  | 16                 | 0                  | 25                | 41         | 133          |
| 04-10         | Female | 0        | 3         | 127                 | 42                 | 0                  | 39                | 109        | 320          |
|               | Male   | 2        | 2         | 139                 | 50                 | 0                  | 47                | 111        | 351          |
| 11-17         | Female | 1        | 9         | 139                 | 73                 | 0                  | 43                | 125        | 390          |
|               | Male   | 1        | 7         | 153                 | 58                 | 0                  | 40                | 158        | 417          |
| <b>Totals</b> |        | <b>4</b> | <b>24</b> | <b>660</b>          | <b>257</b>         | <b>0</b>           | <b>223</b>        | <b>581</b> | <b>1,749</b> |

***Enrollees***

**SFY01**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 00-03         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| 04-10         | Female | 0        | 0        | 2                   | 1                  | 0                  | 0                 | 5         | 8         |
|               | Male   | 0        | 1        | 3                   | 1                  | 0                  | 0                 | 11        | 16        |
| 11-17         | Female | 0        | 0        | 5                   | 3                  | 0                  | 0                 | 17        | 25        |
|               | Male   | 0        | 1        | 11                  | 0                  | 0                  | 0                 | 16        | 28        |
| <b>Totals</b> |        | <b>0</b> | <b>2</b> | <b>21</b>           | <b>5</b>           | <b>0</b>           | <b>0</b>          | <b>49</b> | <b>77</b> |

**SFY02**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 00-03         | Female | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| 04-10         | Female | 0        | 1         | 0                   | 0                  | 0                  | 2                 | 1         | 4         |
|               | Male   | 0        | 1         | 3                   | 0                  | 0                  | 1                 | 12        | 17        |
| 11-17         | Female | 0        | 6         | 3                   | 0                  | 0                  | 3                 | 13        | 25        |
|               | Male   | 0        | 3         | 6                   | 0                  | 0                  | 1                 | 18        | 28        |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 2                 | 0         | 2         |
| <b>Totals</b> |        | <b>0</b> | <b>11</b> | <b>12</b>           | <b>0</b>           | <b>0</b>           | <b>9</b>          | <b>44</b> | <b>76</b> |

**SFY03**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals     |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|------------|
| 00-03         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0          |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0          |
| 04-10         | Female | 0        | 0        | 0                   | 0                  | 0                  | 2                 | 6         | 8          |
|               | Male   | 0        | 1        | 1                   | 0                  | 0                  | 1                 | 10        | 13         |
| 11-17         | Female | 0        | 1        | 3                   | 0                  | 0                  | 1                 | 18        | 23         |
|               | Male   | 0        | 5        | 4                   | 0                  | 0                  | 3                 | 22        | 34         |
| Unknown       |        | 0        | 0        | 0                   | 0                  | 0                  | 34                | 0         | 34         |
| <b>Totals</b> |        | <b>0</b> | <b>7</b> | <b>8</b>            | <b>0</b>           | <b>0</b>           | <b>41</b>         | <b>56</b> | <b>112</b> |

**Historical Rates**

|   | SFY01    | SFY02    | SFY03    | SFY04    | SFY05    |
|---|----------|----------|----------|----------|----------|
| Capitation Rate                             | \$ 10.23 | \$ 17.44 | \$ 17.44 | \$ 16.77 | \$ 20.01 |
| Annual Percentage Change over<br>Prior Year | –        | 70.48%   | 0.00%    | -3.84%   | 19.32%   |

**Member Months**

|   | SFY01  | SFY02  | SFY03   |
|---|--------|--------|---------|
| Member Months                               | 19,657 | 23,551 | 19,773  |
| Annual Percentage Change over<br>Prior Year | –      | 19.81% | -16.04% |

## Title XXI — Adults

### Historical Rates

|  | SFY01    | SFY02    | SFY03    | SFY04    | SFY05    |
|--|----------|----------|----------|----------|----------|
| Capitation Rate                          | \$ 31.50 | \$ 31.50 | \$ 31.50 | \$ 16.51 | \$ 12.87 |
| Annual Percentage Change over Prior Year | –        | 0.00%    | 0.00%    | -47.59%  | -22.05%  |

### Member Months

|  | SFY01 | SFY02   | SFY03   |
|--|-------|---------|---------|
| Member Months                            | 1,777 | 1,317   | 810     |
| Annual Percentage Change over Prior Year | –     | -25.89% | -38.50% |

**Title XXI HIFA II — Adults**

**Historical Rates**

***SMI***

|  | SFY01 | SFY02 | SFY03    | SFY04    | SFY05    |
|--|-------|-------|----------|----------|----------|
| Capitation Rate                          | \$ -  | \$ -  | \$ 46.16 | \$ 16.51 | \$ 12.87 |
| Annual Percentage Change over Prior Year | -     | -     | -        | -64.23%  | -22.05%  |

***GMH/SA***

|  | SFY01 | SFY02 | SFY03    | SFY04    | SFY05    |
|--|-------|-------|----------|----------|----------|
| Capitation Rate                          | \$ -  | \$ -  | \$ 20.86 | \$ 13.72 | \$ 14.92 |
| Annual Percentage Change over Prior Year | -     | -     | -        | -34.23%  | 8.75%    |

**Member Months**

|  | SFY01 | SFY02 | SFY03 |
|--|-------|-------|-------|
| Member Months                            | -     | -     | 1,910 |
| Annual Percentage Change over Prior Year | -     | -     | -     |

## Title XIX DDD-ALTCS — Children

### Demographic Tables

#### *Eligibles*

##### **SFY01 – SFY03**

| Age           | 2001       | 2002       | 2003       |
|---------------|------------|------------|------------|
| 00-03         | 41         | 40         | 48         |
| 04-10         | 128        | 130        | 128        |
| 11-17         | 80         | 89         | 108        |
| <b>Totals</b> | <b>249</b> | <b>259</b> | <b>284</b> |

***Enrollees***

**SFY01**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 00-03         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| 04-10         | Female | 0        | 1        | 0                   | 0                  | 0                  | 0                 | 2         | 3         |
|               | Male   | 0        | 0        | 2                   | 1                  | 0                  | 0                 | 9         | 12        |
| 11-17         | Female | 0        | 0        | 1                   | 0                  | 0                  | 0                 | 2         | 3         |
|               | Male   | 0        | 0        | 6                   | 0                  | 0                  | 0                 | 10        | 16        |
| <b>Totals</b> |        | <b>0</b> | <b>1</b> | <b>9</b>            | <b>1</b>           | <b>0</b>           | <b>0</b>          | <b>23</b> | <b>34</b> |

**SFY02**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 00-03         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| 04-10         | Female | 0        | 3        | 0                   | 0                  | 0                  | 0                 | 0         | 3         |
|               | Male   | 0        | 0        | 1                   | 0                  | 0                  | 0                 | 10        | 11        |
| 11-17         | Female | 0        | 1        | 1                   | 0                  | 0                  | 0                 | 2         | 4         |
|               | Male   | 0        | 0        | 6                   | 0                  | 0                  | 0                 | 13        | 19        |
| <b>Totals</b> |        | <b>0</b> | <b>4</b> | <b>8</b>            | <b>0</b>           | <b>0</b>           | <b>0</b>          | <b>25</b> | <b>37</b> |

**SFY03**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 00-03         | Female | 0        | 0        | 1                   | 0                  | 0                  | 0                 | 1         | 2         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| 04-10         | Female | 0        | 1        | 0                   | 0                  | 0                  | 0                 | 1         | 2         |
|               | Male   | 0        | 0        | 1                   | 0                  | 0                  | 0                 | 10        | 11        |
| 11-17         | Female | 0        | 3        | 1                   | 0                  | 0                  | 0                 | 5         | 9         |
|               | Male   | 0        | 0        | 3                   | 0                  | 0                  | 1                 | 16        | 20        |
| <b>Totals</b> |        | <b>0</b> | <b>4</b> | <b>6</b>            | <b>0</b>           | <b>0</b>           | <b>1</b>          | <b>33</b> | <b>44</b> |

**Financial Data — SFY03**

| Category of Service            | Member Months | Total Dollars     | PMPM            |
|--------------------------------|---------------|-------------------|-----------------|
| Treatment Services             | 3,472         | \$ 26,236         | \$ 7.56         |
| Rehabilitation Services        | 3,472         | \$ -              | \$ -            |
| Medical Services               | 3,472         | \$ 3,524          | \$ 1.01         |
| Support Services               | 3,472         | \$ 44,521         | \$ 12.82        |
| Crisis Intervention Services   | 3,472         | \$ 389            | \$ 0.11         |
| Inpatient Services             | 3,472         | \$ 65,993         | \$ 19.01        |
| Residential Services           | 3,472         | \$ -              | \$ -            |
| Behavioral Health Day Programs | 3,472         | \$ -              | \$ -            |
| Medications                    | 3,472         | \$ -              | \$ -            |
| <b>Total</b>                   | <b>3,472</b>  | <b>\$ 140,663</b> | <b>\$ 40.51</b> |

## Title XIX DDD-ALTCS — Adults

### Demographic Tables

#### *Eligibles*

##### **SFY01 – SFY03**

| Age           | 2001       | 2002       | 2003       |
|---------------|------------|------------|------------|
| 18-39         | 155        | 175        | 181        |
| 40-64         | 189        | 196        | 202        |
| 65+           | 5          | 8          | 10         |
| <b>Totals</b> | <b>349</b> | <b>379</b> | <b>393</b> |

***Enrollees***

**SFY01**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 18-39         | Female | 0        | 1        | 1                   | 2                  | 0                  | 0                 | 14        | 18        |
|               | Male   | 0        | 2        | 6                   | 0                  | 0                  | 0                 | 18        | 26        |
| 40-64         | Female | 0        | 1        | 1                   | 0                  | 0                  | 0                 | 8         | 10        |
|               | Male   | 0        | 0        | 2                   | 0                  | 0                  | 0                 | 15        | 17        |
| 65+           | Female | 0        | 0        | 1                   | 0                  | 0                  | 0                 | 1         | 2         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| <b>Totals</b> |        | <b>0</b> | <b>4</b> | <b>11</b>           | <b>2</b>           | <b>0</b>           | <b>0</b>          | <b>56</b> | <b>73</b> |

**SFY02**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 18-39         | Female | 0        | 2        | 2                   | 1                  | 0                  | 0                 | 14        | 19        |
|               | Male   | 0        | 3        | 8                   | 0                  | 0                  | 0                 | 17        | 28        |
| 40-64         | Female | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 7         | 7         |
|               | Male   | 0        | 0        | 2                   | 0                  | 0                  | 0                 | 13        | 15        |
| 65+           | Female | 0        | 0        | 1                   | 0                  | 0                  | 0                 | 1         | 2         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| <b>Totals</b> |        | <b>0</b> | <b>5</b> | <b>13</b>           | <b>1</b>           | <b>0</b>           | <b>0</b>          | <b>52</b> | <b>71</b> |

**SFY03**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White     | Totals    |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|-----------|-----------|
| 18-39         | Female | 0        | 2        | 3                   | 2                  | 0                  | 1                 | 21        | 29        |
|               | Male   | 0        | 3        | 8                   | 0                  | 0                  | 0                 | 15        | 26        |
| 40-64         | Female | 0        | 0        | 0                   | 0                  | 0                  | 1                 | 11        | 12        |
|               | Male   | 0        | 0        | 2                   | 0                  | 0                  | 0                 | 17        | 19        |
| 65+           | Female | 0        | 0        | 1                   | 0                  | 0                  | 0                 | 1         | 2         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0         | 0         |
| <b>Totals</b> |        | <b>0</b> | <b>5</b> | <b>14</b>           | <b>2</b>           | <b>0</b>           | <b>2</b>          | <b>65</b> | <b>88</b> |

**Financial Data — SFY03**

| Category of Service            | Member Months | Total Dollars     | PMPM            |
|--------------------------------|---------------|-------------------|-----------------|
| Treatment Services             | 4,782         | \$ 22,660         | \$ 4.74         |
| Rehabilitation Services        | 4,782         | \$ 1,498          | \$ 0.31         |
| Medical Services               | 4,782         | \$ 8,361          | \$ 1.75         |
| Support Services               | 4,782         | \$ 43,642         | \$ 9.13         |
| Crisis Intervention Services   | 4,782         | \$ 2,392          | \$ 0.50         |
| Inpatient Services             | 4,782         | \$ 16,195         | \$ 3.39         |
| Residential Services           | 4,782         | \$ 19,135         | \$ 4.00         |
| Behavioral Health Day Programs | 4,782         | \$ 224            | \$ 0.05         |
| Medications                    | 4,782         | \$ -              | \$ -            |
| <b>Total</b>                   | <b>4,782</b>  | <b>\$ 114,107</b> | <b>\$ 23.86</b> |

## Non-Title XIX/XXI Children

### Demographic Tables

#### *Enrollees*

#### SFY01

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 00-03         | Female | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
| 04-10         | Female | 0        | 0         | 17                  | 1                  | 0                  | 2                 | 22         | 42         |
|               | Male   | 0        | 2         | 22                  | 0                  | 0                  | 1                 | 57         | 82         |
| 11-17         | Female | 0        | 7         | 33                  | 4                  | 0                  | 2                 | 84         | 130        |
|               | Male   | 0        | 9         | 65                  | 6                  | 0                  | 1                 | 109        | 190        |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
| <b>Totals</b> |        | <b>0</b> | <b>18</b> | <b>137</b>          | <b>11</b>          | <b>0</b>           | <b>6</b>          | <b>272</b> | <b>444</b> |

#### SFY02

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 00-03         | Female | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
| 04-10         | Female | 0        | 2         | 8                   | 1                  | 0                  | 1                 | 14         | 26         |
|               | Male   | 0        | 3         | 12                  | 1                  | 0                  | 4                 | 23         | 43         |
| 11-17         | Female | 0        | 5         | 25                  | 3                  | 0                  | 14                | 44         | 91         |
|               | Male   | 1        | 9         | 53                  | 13                 | 0                  | 26                | 125        | 227        |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 16                | 0          | 16         |
| <b>Totals</b> |        | <b>1</b> | <b>19</b> | <b>98</b>           | <b>18</b>          | <b>0</b>           | <b>61</b>         | <b>206</b> | <b>403</b> |

**SFY03**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 00-03         | Female | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
| 04-10         | Female | 0        | 1         | 3                   | 0                  | 0                  | 3                 | 8          | 15         |
|               | Male   | 0        | 3         | 4                   | 0                  | 1                  | 9                 | 26         | 43         |
| 11-17         | Female | 0        | 8         | 30                  | 11                 | 0                  | 20                | 54         | 123        |
|               | Male   | 2        | 12        | 39                  | 9                  | 0                  | 38                | 101        | 201        |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 205               | 0          | 205        |
| <b>Totals</b> |        | <b>2</b> | <b>24</b> | <b>76</b>           | <b>20</b>          | <b>1</b>           | <b>275</b>        | <b>189</b> | <b>587</b> |

## Encounter and Financial Data Reports

### SFY01

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 4,217           | 646              | 23,351             | \$ 404,643                  | 66,448                           | \$ 17.33                 | \$ 95.96            | \$ 362,062                  | \$ 85.86                 |
| Rehabilitation Services        | 4,217           | 7                | 182                | \$ 2,688                    | 518                              | \$ 14.77                 | \$ 0.64             | \$ 58,578                   | \$ 13.89                 |
| Medical Services               | 4,217           | 188              | 577                | \$ 28,324                   | 1,642                            | \$ 49.09                 | \$ 6.72             | \$ 47                       | \$ 0.01                  |
| Support Services               | 4,217           | 150              | 8,028              | \$ 236,449                  | 22,845                           | \$ 29.45                 | \$ 56.07            | \$ 353,285                  | \$ 83.78                 |
| Crisis Intervention Services   | 4,217           | 10               | 104                | \$ 2,391                    | 296                              | \$ 22.99                 | \$ 0.57             | \$ 64,882                   | \$ 15.39                 |
| Inpatient Services             | 4,217           | 9                | 3,498              | \$ 107,911                  | 9,954                            | \$ 30.85                 | \$ 25.59            | \$ 230,800                  | \$ 54.73                 |
| Residential Services           | 4,217           | 35               | 3,178              | \$ 148,538                  | 9,043                            | \$ 46.74                 | \$ 35.22            | \$ 108                      | \$ 0.03                  |
| Behavioral Health Day Programs | 4,217           | 0                | 0                  | \$ -                        | 0                                | \$ -                     | \$ -                | \$ 174,121                  | \$ 41.29                 |
| Medications                    | 4,217           | 125              | 902                | \$ 56,514                   | 2,567                            | \$ 62.65                 | \$ 13.40            | \$ 73,457                   | \$ 17.42                 |
| <b>Total</b>                   | <b>4,217</b>    | <b>724</b>       | <b>39,820</b>      | <b>\$ 987,458</b>           | <b>113,313</b>                   | <b>\$ 24.80</b>          | <b>\$ 234.16</b>    | <b>\$1,317,340</b>          | <b>\$ 312.39</b>         |

### SFY02

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 5,865           | 783              | 33,808             | \$ 605,790                  | 69,172                           | \$ 17.92                 | \$ 103.29           | \$ 523,732                  | \$ 89.30                 |
| Rehabilitation Services        | 5,865           | 132              | 1,018              | \$ 15,439                   | 2,083                            | \$ 15.17                 | \$ 2.63             | \$ 575                      | \$ 0.10                  |
| Medical Services               | 5,865           | 177              | 547                | \$ 26,832                   | 1,119                            | \$ 49.05                 | \$ 4.57             | \$ 24,816                   | \$ 4.23                  |
| Support Services               | 5,865           | 606              | 23,015             | \$ 364,064                  | 47,090                           | \$ 15.82                 | \$ 62.07            | \$ 337,688                  | \$ 57.58                 |
| Crisis Intervention Services   | 5,865           | 41               | 263                | \$ 14,001                   | 538                              | \$ 53.24                 | \$ 2.39             | \$ 80,272                   | \$ 13.69                 |
| Inpatient Services             | 5,865           | 14               | 928                | \$ 306,850                  | 1,899                            | \$ 330.66                | \$ 52.32            | \$ 275,682                  | \$ 47.00                 |
| Residential Services           | 5,865           | 53               | 6,343              | \$ 227,449                  | 12,978                           | \$ 35.86                 | \$ 38.78            | \$ 46,272                   | \$ 7.89                  |
| Behavioral Health Day Programs | 5,865           | 27               | 1,598              | \$ 86,650                   | 3,270                            | \$ 54.22                 | \$ 14.77            | \$ 130,294                  | \$ 22.22                 |
| Medications                    | 5,865           | 147              | 955                | \$ 52,112                   | 1,954                            | \$ 54.57                 | \$ 8.89             | \$ 85,630                   | \$ 14.60                 |
| <b>Total</b>                   | <b>5,865</b>    | <b>987</b>       | <b>68,475</b>      | <b>\$1,699,186</b>          | <b>140,102</b>                   | <b>\$ 24.81</b>          | <b>\$ 289.72</b>    | <b>\$1,504,961</b>          | <b>\$ 256.60</b>         |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY01 – SFY02**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 39.1%           | 21.2%            | 44.8%              | 49.7%                       | 4.1%                             | 3.4%                     | 7.6%                | 44.7%                       | 4.0%                     |
| Rehabilitation Services        | 39.1%           | 1785.7%          | 459.3%             | 474.4%                      | 302.2%                           | 2.7%                     | 313.0%              | -99.0%                      | -99.3%                   |
| Medical Services               | 39.1%           | -5.9%            | -5.2%              | -5.3%                       | -31.8%                           | -0.1%                    | -31.9%              | 52700.0%                    | 37863.8%                 |
| Support Services               | 39.1%           | 304.0%           | 186.7%             | 54.0%                       | 106.1%                           | -46.3%                   | 10.7%               | -4.4%                       | -31.3%                   |
| Crisis Intervention Services   | 39.1%           | 310.0%           | 152.9%             | 485.6%                      | 81.8%                            | 131.6%                   | 321.0%              | 23.7%                       | -11.0%                   |
| Inpatient Services             | 39.1%           | 55.6%            | -73.5%             | 184.4%                      | -80.9%                           | 971.9%                   | 104.5%              | 19.4%                       | -14.1%                   |
| Residential Services           | 39.1%           | 51.4%            | 99.6%              | 53.1%                       | 43.5%                            | -23.3%                   | 10.1%               | 42744.4%                    | 30705.6%                 |
| Behavioral Health Day Programs | 39.1%           | N/A              | N/A                | N/A                         | N/A                              | N/A                      | N/A                 | -25.2%                      | -46.2%                   |
| Medications                    | 39.1%           | 17.6%            | 5.9%               | -7.8%                       | -23.9%                           | -12.9%                   | -33.7%              | 16.6%                       | -16.2%                   |
| <b>Total</b>                   | <b>39.1%</b>    | <b>36.3%</b>     | <b>72.0%</b>       | <b>72.1%</b>                | <b>23.6%</b>                     | <b>0.1%</b>              | <b>23.7%</b>        | <b>14.2%</b>                | <b>-17.9%</b>            |

**SFY03**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 5,948           | 454              | 15,129             | \$ 307,027                  | 30,523                           | \$ 20.29                 | \$ 51.62            | \$ 157,976                  | \$ 26.56                 |
| Rehabilitation Services        | 5,948           | 4                | 187                | \$ 2,913                    | 377                              | \$ 15.59                 | \$ 0.49             | \$ 99                       | \$ 0.02                  |
| Medical Services               | 5,948           | 76               | 191                | \$ 9,043                    | 385                              | \$ 47.43                 | \$ 1.52             | \$ 5,863                    | \$ 0.99                  |
| Support Services               | 5,948           | 495              | 25,646             | \$ 343,013                  | 51,740                           | \$ 13.37                 | \$ 57.67            | \$ 195,294                  | \$ 32.83                 |
| Crisis Intervention Services   | 5,948           | 100              | 470                | \$ 27,080                   | 947                              | \$ 57.67                 | \$ 4.55             | \$ 22,053                   | \$ 3.71                  |
| Inpatient Services             | 5,948           | 4                | 169                | \$ 44,981                   | 341                              | \$ 265.76                | \$ 7.56             | \$ 84,716                   | \$ 14.24                 |
| Residential Services           | 5,948           | 53               | 4,316              | \$ 80,445                   | 8,707                            | \$ 18.64                 | \$ 13.52            | \$ 18,491                   | \$ 3.11                  |
| Behavioral Health Day Programs | 5,948           | 29               | 1,335              | \$ 75,501                   | 2,694                            | \$ 56.54                 | \$ 12.69            | \$ 63,319                   | \$ 10.65                 |
| Medications                    | 5,948           | 82               | 644                | \$ 47,037                   | 1,299                            | \$ 73.04                 | \$ 7.91             | \$ 45,454                   | \$ 7.64                  |
| <b>Total</b>                   | <b>5,948</b>    | <b>660</b>       | <b>48,087</b>      | <b>\$ 937,041</b>           | <b>97,014</b>                    | <b>\$ 19.49</b>          | <b>\$ 157.54</b>    | <b>\$ 593,265</b>           | <b>\$ 99.74</b>          |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY02 – SFY03**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 1.4%            | -42.0%           | -55.2%             | -49.3%                      | -55.9%                           | 13.3%                    | -50.0%              | -69.8%                      | -70.3%                   |
| Rehabilitation Services        | 1.4%            | -97.0%           | -81.6%             | -81.1%                      | -81.9%                           | 2.8%                     | -81.4%              | -82.8%                      | -83.0%                   |
| Medical Services               | 1.4%            | -57.1%           | -65.1%             | -66.3%                      | -65.6%                           | -3.3%                    | -66.8%              | -76.4%                      | -76.7%                   |
| Support Services               | 1.4%            | -18.3%           | 11.4%              | -5.8%                       | 9.9%                             | -15.4%                   | -7.1%               | -42.2%                      | -43.0%                   |
| Crisis Intervention Services   | 1.4%            | 143.9%           | 78.5%              | 93.4%                       | 76.0%                            | 8.3%                     | 90.7%               | -72.5%                      | -72.9%                   |
| Inpatient Services             | 1.4%            | -71.4%           | -81.8%             | -85.3%                      | -82.0%                           | -19.6%                   | -85.5%              | -69.3%                      | -69.7%                   |
| Residential Services           | 1.4%            | 0.0%             | -32.0%             | -64.6%                      | -32.9%                           | -48.0%                   | -65.1%              | -60.0%                      | -60.6%                   |
| Behavioral Health Day Programs | 1.4%            | 7.4%             | -16.4%             | -12.9%                      | -17.6%                           | 4.3%                     | -14.1%              | -51.4%                      | -52.1%                   |
| Medications                    | 1.4%            | -44.2%           | -32.6%             | -9.7%                       | -33.5%                           | 33.9%                    | -11.0%              | -46.9%                      | -47.7%                   |
| <b>Total</b>                   | <b>1.4%</b>     | <b>-33.1%</b>    | <b>-29.8%</b>      | <b>-44.9%</b>               | <b>-30.8%</b>                    | <b>-21.5%</b>            | <b>-45.6%</b>       | <b>-60.6%</b>               | <b>-61.1%</b>            |

<sup>1</sup>Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

## Non-Title XIX/XXI Adults — SMI

### Demographic Tables

#### *Enrollees*

##### **SFY01**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 1        | 0        | 5                   | 2                  | 0                  | 0                 | 23         | 31         |
|               | Male   | 0        | 2        | 7                   | 1                  | 0                  | 0                 | 32         | 42         |
| 40-64         | Female | 1        | 3        | 11                  | 1                  | 0                  | 0                 | 73         | 89         |
|               | Male   | 0        | 3        | 21                  | 2                  | 0                  | 0                 | 52         | 78         |
| 65+           | Female | 0        | 1        | 3                   | 0                  | 0                  | 0                 | 8          | 12         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 9          | 9          |
| Unknown       |        | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
| <b>Totals</b> |        | <b>2</b> | <b>9</b> | <b>47</b>           | <b>6</b>           | <b>0</b>           | <b>0</b>          | <b>197</b> | <b>261</b> |

##### **SFY02**

| Age           | Gender | Asian    | Black    | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 1        | 1        | 2                   | 1                  | 0                  | 0                 | 14         | 19         |
|               | Male   | 0        | 2        | 3                   | 0                  | 0                  | 1                 | 25         | 31         |
| 40-64         | Female | 0        | 5        | 11                  | 1                  | 0                  | 1                 | 62         | 80         |
|               | Male   | 0        | 1        | 17                  | 3                  | 0                  | 0                 | 38         | 59         |
| 65+           | Female | 0        | 0        | 3                   | 0                  | 0                  | 0                 | 9          | 12         |
|               | Male   | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 11         | 11         |
| Unknown       |        | 0        | 0        | 0                   | 0                  | 0                  | 0                 | 0          | 0          |
| <b>Totals</b> |        | <b>1</b> | <b>9</b> | <b>36</b>           | <b>5</b>           | <b>0</b>           | <b>2</b>          | <b>159</b> | <b>212</b> |

**SFY03**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 1        | 0         | 1                   | 0                  | 0                  | 2                 | 18         | 22         |
|               | Male   | 1        | 3         | 3                   | 1                  | 0                  | 0                 | 31         | 39         |
| 40-64         | Female | 0        | 5         | 11                  | 0                  | 0                  | 1                 | 71         | 88         |
|               | Male   | 0        | 5         | 13                  | 2                  | 0                  | 0                 | 46         | 66         |
| 65+           | Female | 0        | 0         | 4                   | 0                  | 0                  | 1                 | 11         | 16         |
|               | Male   | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 10         | 10         |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 16                | 0          | 16         |
| <b>Totals</b> |        | <b>2</b> | <b>13</b> | <b>32</b>           | <b>3</b>           | <b>0</b>           | <b>20</b>         | <b>187</b> | <b>257</b> |

## Encounter and Financial Data Reports

### SFY01

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 3,641           | 377              | 26,007             | \$ 322,659                  | 85,714                           | \$ 12.41                 | \$ 88.62            | \$ 270,703                  | \$ 74.35                 |
| Rehabilitation Services        | 3,641           | 55               | 11,643             | \$ 173,824                  | 38,373                           | \$ 14.93                 | \$ 47.74            | \$ 990,962                  | \$ 272.17                |
| Medical Services               | 3,641           | 402              | 3,686              | \$ 122,035                  | 12,148                           | \$ 33.11                 | \$ 33.52            | \$ 1,028                    | \$ 0.28                  |
| Support Services               | 3,641           | 298              | 88,388             | \$1,306,685                 | 291,309                          | \$ 14.78                 | \$ 358.88           | \$ 527,079                  | \$ 144.76                |
| Crisis Intervention Services   | 3,641           | 111              | 2,196              | \$ 57,186                   | 7,238                            | \$ 26.04                 | \$ 15.71            | \$ 35,597                   | \$ 9.78                  |
| Inpatient Services             | 3,641           | 5                | 244                | \$ 20,144                   | 804                              | \$ 82.56                 | \$ 5.53             | \$ 417,730                  | \$ 114.73                |
| Residential Services           | 3,641           | 72               | 11,180             | \$ 299,255                  | 36,847                           | \$ 26.77                 | \$ 82.19            | \$ 2,310                    | \$ 0.63                  |
| Behavioral Health Day Programs | 3,641           | 0                | 0                  | \$ -                        | 0                                | \$ -                     | \$ -                | \$ 399,118                  | \$ 109.62                |
| Medications                    | 3,641           | 297              | 5,409              | \$ 451,820                  | 17,827                           | \$ 83.53                 | \$ 124.09           | \$ 538,066                  | \$ 147.78                |
| <b>Total</b>                   | <b>3,641</b>    | <b>553</b>       | <b>148,753</b>     | <b>\$2,753,608</b>          | <b>490,260</b>                   | <b>\$ 18.51</b>          | <b>\$ 756.28</b>    | <b>\$3,182,593</b>          | <b>\$ 874.10</b>         |

### SFY02

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 2,920           | 391              | 23,750             | \$ 360,704                  | 97,603                           | \$ 15.19                 | \$ 123.53           | \$ 276,466                  | \$ 94.68                 |
| Rehabilitation Services        | 2,920           | 62               | 11,250             | \$ 117,541                  | 46,233                           | \$ 10.45                 | \$ 40.25            | \$ 51,436                   | \$ 17.62                 |
| Medical Services               | 2,920           | 355              | 2,563              | \$ 98,032                   | 10,533                           | \$ 38.25                 | \$ 33.57            | \$ 81,633                   | \$ 27.96                 |
| Support Services               | 2,920           | 461              | 73,351             | \$ 819,166                  | 301,442                          | \$ 11.17                 | \$ 280.54           | \$ 893,360                  | \$ 305.95                |
| Crisis Intervention Services   | 2,920           | 66               | 748                | \$ 31,697                   | 3,074                            | \$ 42.38                 | \$ 10.86            | \$ 488,019                  | \$ 167.13                |
| Inpatient Services             | 2,920           | 38               | 635                | \$ 183,823                  | 2,610                            | \$ 289.49                | \$ 62.95            | \$ 167,800                  | \$ 57.47                 |
| Residential Services           | 2,920           | 95               | 17,891             | \$ 636,143                  | 73,525                           | \$ 35.56                 | \$ 217.86           | \$ 343,815                  | \$ 117.74                |
| Behavioral Health Day Programs | 2,920           | 35               | 1,951              | \$ 146,810                  | 8,018                            | \$ 75.25                 | \$ 50.28            | \$ 200,139                  | \$ 68.54                 |
| Medications                    | 2,920           | 250              | 3,903              | \$ 287,834                  | 16,040                           | \$ 73.75                 | \$ 98.57            | \$ 395,911                  | \$ 135.59                |
| <b>Total</b>                   | <b>2,920</b>    | <b>562</b>       | <b>136,042</b>     | <b>\$2,681,750</b>          | <b>559,077</b>                   | <b>\$ 19.71</b>          | <b>\$ 918.41</b>    | <b>\$2,898,579</b>          | <b>\$ 992.66</b>         |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY01 – SFY02**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | -19.8%          | 3.7%             | -8.7%              | 11.8%                       | 13.9%                            | 22.4%                    | 39.4%               | 2.1%                        | 27.3%                    |
| Rehabilitation Services        | -19.8%          | 12.7%            | -3.4%              | -32.4%                      | 20.5%                            | -30.0%                   | -15.7%              | -94.8%                      | -93.5%                   |
| Medical Services               | -19.8%          | -11.7%           | -30.5%             | -19.7%                      | -13.3%                           | 15.5%                    | 0.2%                | 7841.0%                     | 9801.7%                  |
| Support Services               | -19.8%          | 54.7%            | -17.0%             | -37.3%                      | 3.5%                             | -24.5%                   | -21.8%              | 69.5%                       | 111.3%                   |
| Crisis Intervention Services   | -19.8%          | -40.5%           | -65.9%             | -44.6%                      | -57.5%                           | 62.7%                    | -30.9%              | 1271.0%                     | 1609.5%                  |
| Inpatient Services             | -19.8%          | 660.0%           | 160.2%             | 812.5%                      | 224.5%                           | 250.6%                   | 1037.9%             | -59.8%                      | -49.9%                   |
| Residential Services           | -19.8%          | 31.9%            | 60.0%              | 112.6%                      | 99.5%                            | 32.8%                    | 165.1%              | 14783.8%                    | 18458.8%                 |
| Behavioral Health Day Programs | -19.8%          | N/A              | N/A                | N/A                         | N/A                              | N/A                      | N/A                 | -49.9%                      | -37.5%                   |
| Medications                    | -19.8%          | -15.8%           | -27.8%             | -36.3%                      | -10.0%                           | -11.7%                   | -20.6%              | -26.4%                      | -8.3%                    |
| <b>Total</b>                   | <b>-19.8%</b>   | <b>1.6%</b>      | <b>-8.5%</b>       | <b>-2.6%</b>                | <b>14.0%</b>                     | <b>6.5%</b>              | <b>21.4%</b>        | <b>-8.9%</b>                | <b>13.6%</b>             |

**SFY03**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 2,713           | 366              | 15,600             | \$ 292,405                  | 69,003                           | \$ 18.74                 | \$ 107.78           | \$ 273,908                  | \$ 100.96                |
| Rehabilitation Services        | 2,713           | 55               | 7,971              | \$ 75,527                   | 35,259                           | \$ 9.47                  | \$ 27.84            | \$ 57,891                   | \$ 21.34                 |
| Medical Services               | 2,713           | 257              | 1,857              | \$ 61,137                   | 8,215                            | \$ 32.92                 | \$ 22.53            | \$ 79,333                   | \$ 29.24                 |
| Support Services               | 2,713           | 470              | 90,286             | \$ 906,842                  | 399,350                          | \$ 10.04                 | \$ 334.26           | \$ 858,246                  | \$ 316.35                |
| Crisis Intervention Services   | 2,713           | 80               | 622                | \$ 37,073                   | 2,750                            | \$ 59.63                 | \$ 13.66            | \$ 81,333                   | \$ 29.98                 |
| Inpatient Services             | 2,713           | 23               | 505                | \$ 109,489                  | 2,235                            | \$ 216.73                | \$ 40.36            | \$ 424,612                  | \$ 156.51                |
| Residential Services           | 2,713           | 74               | 13,158             | \$ 482,268                  | 58,200                           | \$ 36.65                 | \$ 177.76           | \$ 468,298                  | \$ 172.61                |
| Behavioral Health Day Programs | 2,713           | 39               | 3,270              | \$ 268,748                  | 14,463                           | \$ 82.19                 | \$ 99.06            | \$ 292,359                  | \$ 107.76                |
| Medications                    | 2,713           | 232              | 3,734              | \$ 386,909                  | 16,516                           | \$ 103.62                | \$ 142.61           | \$ 615,568                  | \$ 226.90                |
| <b>Total</b>                   | <b>2,713</b>    | <b>535</b>       | <b>137,004</b>     | <b>\$ 2,620,399</b>         | <b>605,990</b>                   | <b>\$ 19.13</b>          | <b>\$ 965.87</b>    | <b>\$ 3,151,548</b>         | <b>\$ 1,161.65</b>       |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY02 – SFY03**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | -7.1%           | -6.4%            | -34.3%             | -18.9%                      | -29.3%                           | 23.4%                    | -12.7%              | -0.9%                       | 6.6%                     |
| Rehabilitation Services        | -7.1%           | -11.3%           | -29.1%             | -35.7%                      | -23.7%                           | -9.3%                    | -30.8%              | 12.5%                       | 21.1%                    |
| Medical Services               | -7.1%           | -27.6%           | -27.5%             | -37.6%                      | -22.0%                           | -13.9%                   | -32.9%              | -2.8%                       | 4.6%                     |
| Support Services               | -7.1%           | 2.0%             | 23.1%              | 10.7%                       | 32.5%                            | -10.1%                   | 19.1%               | -3.9%                       | 3.4%                     |
| Crisis Intervention Services   | -7.1%           | 21.2%            | -16.9%             | 17.0%                       | -10.5%                           | 40.7%                    | 25.9%               | -83.3%                      | -82.1%                   |
| Inpatient Services             | -7.1%           | -39.5%           | -20.4%             | -40.4%                      | -14.4%                           | -25.1%                   | -35.9%              | 153.0%                      | 172.4%                   |
| Residential Services           | -7.1%           | -22.1%           | -26.5%             | -24.2%                      | -20.8%                           | 3.1%                     | -18.4%              | 36.2%                       | 46.6%                    |
| Behavioral Health Day Programs | -7.1%           | 11.4%            | 67.6%              | 83.1%                       | 80.4%                            | 9.2%                     | 97.0%               | 46.1%                       | 57.2%                    |
| Medications                    | -7.1%           | -7.2%            | -4.3%              | 34.4%                       | 3.0%                             | 40.5%                    | 44.7%               | 55.5%                       | 67.3%                    |
| <b>Total</b>                   | <b>-7.1%</b>    | <b>-4.8%</b>     | <b>0.7%</b>        | <b>-2.3%</b>                | <b>8.4%</b>                      | <b>-3.0%</b>             | <b>5.2%</b>         | <b>8.7%</b>                 | <b>17.0%</b>             |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

## Non-Title XIX/XXI — GMH/SA

### Demographic Tables

#### *Enrollees*

##### **SFY01**

| Age            | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals      |
|----------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|-------------|
| 18-39          | Female | 1        | 6         | 62                  | 18                 | 0                  | 2                 | 175        | 264         |
|                | Male   | 0        | 17        | 172                 | 40                 | 0                  | 2                 | 256        | 487         |
| 40-64          | Female | 0        | 5         | 43                  | 11                 | 0                  | 1                 | 157        | 217         |
|                | Male   | 1        | 10        | 79                  | 16                 | 0                  | 1                 | 161        | 268         |
| 65+            | Female | 0        | 0         | 4                   | 0                  | 0                  | 0                 | 8          | 12          |
|                | Male   | 0        | 0         | 0                   | 1                  | 0                  | 0                 | 11         | 12          |
| <b>Unknown</b> |        | 0        | 0         | 0                   | 0                  | 0                  | 0                 | 0          | 0           |
| <b>Totals</b>  |        | <b>2</b> | <b>38</b> | <b>360</b>          | <b>86</b>          | <b>0</b>           | <b>6</b>          | <b>768</b> | <b>1260</b> |

##### **SFY02**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals     |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|------------|
| 18-39         | Female | 0        | 16        | 20                  | 1                  | 0                  | 5                 | 72         | 114        |
|               | Male   | 1        | 25        | 59                  | 11                 | 1                  | 22                | 118        | 237        |
| 40-64         | Female | 0        | 4         | 17                  | 2                  | 0                  | 0                 | 97         | 120        |
|               | Male   | 2        | 10        | 25                  | 4                  | 0                  | 4                 | 102        | 147        |
| 65+           | Female | 0        | 3         | 4                   | 0                  | 0                  | 0                 | 10         | 17         |
|               | Male   | 0        | 0         | 1                   | 0                  | 0                  | 0                 | 6          | 7          |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 18                | 0          | 18         |
| <b>Totals</b> |        | <b>3</b> | <b>58</b> | <b>126</b>          | <b>18</b>          | <b>1</b>           | <b>49</b>         | <b>405</b> | <b>660</b> |

**SFY03**

| Age           | Gender | Asian    | Black     | Hispanic/<br>Latino | Native<br>American | Native<br>Hawaiian | Other/<br>Unknown | White      | Totals      |
|---------------|--------|----------|-----------|---------------------|--------------------|--------------------|-------------------|------------|-------------|
| 18-39         | Female | 0        | 11        | 19                  | 5                  | 0                  | 20                | 101        | 156         |
|               | Male   | 1        | 23        | 16                  | 13                 | 0                  | 60                | 159        | 272         |
| 40-64         | Female | 0        | 10        | 11                  | 4                  | 0                  | 7                 | 114        | 146         |
|               | Male   | 1        | 13        | 21                  | 4                  | 0                  | 16                | 106        | 161         |
| 65+           | Female | 0        | 1         | 5                   | 0                  | 0                  | 0                 | 7          | 13          |
|               | Male   | 0        | 0         | 4                   | 0                  | 0                  | 1                 | 7          | 12          |
| Unknown       |        | 0        | 0         | 0                   | 0                  | 0                  | 391               | 0          | 391         |
| <b>Totals</b> |        | <b>2</b> | <b>58</b> | <b>76</b>           | <b>26</b>          | <b>0</b>           | <b>495</b>        | <b>494</b> | <b>1151</b> |

## Encounter and Financial Data Reports

### SFY01

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 14,520          | 2,570            | 128,681            | \$1,576,388                 | 106,348                          | \$ 12.25                 | \$ 108.57           | \$1,709,523                 | \$ 117.74                |
| Rehabilitation Services        | 14,520          | 8                | 124                | \$ 2,751                    | 102                              | \$ 22.19                 | \$ 0.19             | \$ 271,968                  | \$ 18.73                 |
| Medical Services               | 14,520          | 589              | 5,325              | \$ 119,990                  | 4,401                            | \$ 22.53                 | \$ 8.26             | \$ 33,311                   | \$ 2.29                  |
| Support Services               | 14,520          | 877              | 23,115             | \$ 401,221                  | 19,103                           | \$ 17.36                 | \$ 27.63            | \$ 592,061                  | \$ 40.78                 |
| Crisis Intervention Services   | 14,520          | 324              | 19,316             | \$ 396,408                  | 15,964                           | \$ 20.52                 | \$ 27.30            | \$ 520,539                  | \$ 35.85                 |
| Inpatient Services             | 14,520          | 11               | 432                | \$ 33,942                   | 357                              | \$ 78.57                 | \$ 2.34             | \$ 123,770                  | \$ 8.52                  |
| Residential Services           | 14,520          | 121              | 2,463              | \$ 69,023                   | 2,036                            | \$ 28.02                 | \$ 4.75             | \$ 21,169                   | \$ 1.46                  |
| Behavioral Health Day Programs | 14,520          | 0                | 0                  | \$ -                        | 0                                | \$ -                     | \$ -                | \$ 26,410                   | \$ 1.82                  |
| Medications                    | 14,520          | 389              | 3,438              | \$ 232,963                  | 2,841                            | \$ 67.76                 | \$ 16.04            | \$ 464,576                  | \$ 32.00                 |
| <b>Total</b>                   | <b>14,520</b>   | <b>2,746</b>     | <b>182,894</b>     | <b>\$2,832,686</b>          | <b>151,152</b>                   | <b>\$ 15.49</b>          | <b>\$ 195.09</b>    | <b>\$3,763,327</b>          | <b>\$ 259.18</b>         |

### SFY02

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 13,489          | 1,664            | 81,345             | \$1,053,508                 | 72,366                           | \$ 12.95                 | \$ 78.10            | \$ 956,779                  | \$ 70.93                 |
| Rehabilitation Services        | 13,489          | 60               | 1,267              | \$ 19,777                   | 1,127                            | \$ 15.61                 | \$ 1.47             | \$ 33,509                   | \$ 2.48                  |
| Medical Services               | 13,489          | 379              | 2,229              | \$ 62,379                   | 1,983                            | \$ 27.99                 | \$ 4.62             | \$ 74,657                   | \$ 5.53                  |
| Support Services               | 13,489          | 1,307            | 20,811             | \$ 352,928                  | 18,514                           | \$ 16.96                 | \$ 26.16            | \$ 536,555                  | \$ 39.78                 |
| Crisis Intervention Services   | 13,489          | 137              | 5,014              | \$ 129,743                  | 4,461                            | \$ 25.88                 | \$ 9.62             | \$ 233,033                  | \$ 17.28                 |
| Inpatient Services             | 13,489          | 72               | 688                | \$ 167,606                  | 612                              | \$ 243.61                | \$ 12.43            | \$ 318,219                  | \$ 23.59                 |
| Residential Services           | 13,489          | 116              | 4,583              | \$ 197,990                  | 4,077                            | \$ 43.20                 | \$ 14.68            | \$ 107,110                  | \$ 7.94                  |
| Behavioral Health Day Programs | 13,489          | 1                | 2                  | \$ 61                       | 2                                | \$ 30.50                 | \$ 0.00             | \$ 5,960                    | \$ 0.44                  |
| Medications                    | 13,489          | 320              | 1,997              | \$ 124,357                  | 1,777                            | \$ 62.27                 | \$ 9.22             | \$ 308,293                  | \$ 22.86                 |
| <b>Total</b>                   | <b>13,489</b>   | <b>1,979</b>     | <b>117,936</b>     | <b>\$2,108,347</b>          | <b>104,917</b>                   | <b>\$ 17.88</b>          | <b>\$ 156.30</b>    | <b>\$2,574,115</b>          | <b>\$ 190.83</b>         |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY01 – SFY02**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | -7.1%           | -35.3%           | -36.8%             | -33.2%                      | -32.0%                           | 5.7%                     | -28.1%              | -44.0%                      | -39.8%                   |
| Rehabilitation Services        | -7.1%           | 650.0%           | 921.8%             | 618.9%                      | 999.9%                           | -29.6%                   | 673.8%              | -87.7%                      | -86.7%                   |
| Medical Services               | -7.1%           | -35.7%           | -58.1%             | -48.0%                      | -54.9%                           | 24.2%                    | -44.0%              | 124.1%                      | 141.3%                   |
| Support Services               | -7.1%           | 49.0%            | -10.0%             | -12.0%                      | -3.1%                            | -2.3%                    | -5.3%               | -9.4%                       | -2.4%                    |
| Crisis Intervention Services   | -7.1%           | -57.7%           | -74.0%             | -67.3%                      | -72.1%                           | 26.1%                    | -64.8%              | -55.2%                      | -51.8%                   |
| Inpatient Services             | -7.1%           | 554.5%           | 59.3%              | 393.8%                      | 71.4%                            | 210.1%                   | 431.5%              | 157.1%                      | 176.8%                   |
| Residential Services           | -7.1%           | -4.1%            | 86.1%              | 186.8%                      | 100.3%                           | 54.2%                    | 208.8%              | 406.0%                      | 444.6%                   |
| Behavioral Health Day Programs | -7.1%           | N/A              | N/A                | N/A                         | N/A                              | N/A                      | N/A                 | -77.4%                      | -75.7%                   |
| Medications                    | -7.1%           | -17.7%           | -41.9%             | -46.6%                      | -37.5%                           | -8.1%                    | -42.5%              | -33.6%                      | -28.6%                   |
| <b>Total</b>                   | <b>-7.1%</b>    | <b>-27.9%</b>    | <b>-35.5%</b>      | <b>-25.6%</b>               | <b>-30.6%</b>                    | <b>15.4%</b>             | <b>-19.9%</b>       | <b>-31.6%</b>               | <b>-26.4%</b>            |

**SFY03**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | 11,441          | 900              | 33,666             | \$ 479,784                  | 35,310                           | \$ 14.25                 | \$ 41.94            | \$ 506,662                  | \$ 44.28                 |
| Rehabilitation Services        | 11,441          | 86               | 3,617              | \$ 57,502                   | 3,794                            | \$ 15.90                 | \$ 5.03             | \$ 35,471                   | \$ 3.10                  |
| Medical Services               | 11,441          | 77               | 241                | \$ 8,071                    | 253                              | \$ 33.48                 | \$ 0.71             | \$ 10,702                   | \$ 0.94                  |
| Support Services               | 11,441          | 1,052            | 19,103             | \$ 260,260                  | 20,036                           | \$ 13.62                 | \$ 22.75            | \$ 300,327                  | \$ 26.25                 |
| Crisis Intervention Services   | 11,441          | 440              | 2,493              | \$ 143,192                  | 2,614                            | \$ 57.45                 | \$ 12.52            | \$ 179,116                  | \$ 15.66                 |
| Inpatient Services             | 11,441          | 26               | 256                | \$ 50,529                   | 269                              | \$ 197.01                | \$ 4.42             | \$ 147,837                  | \$ 12.92                 |
| Residential Services           | 11,441          | 87               | 3,331              | \$ 81,505                   | 3,494                            | \$ 24.47                 | \$ 7.12             | \$ 186,829                  | \$ 16.33                 |
| Behavioral Health Day Programs | 11,441          | 5                | 76                 | \$ 3,371                    | 80                               | \$ 44.21                 | \$ 0.29             | \$ 988                      | \$ 0.09                  |
| Medications                    | 11,441          | 111              | 493                | \$ 41,445                   | 517                              | \$ 84.07                 | \$ 3.62             | \$ 121,182                  | \$ 10.59                 |
| <b>Total</b>                   | <b>11,441</b>   | <b>1,516</b>     | <b>63,276</b>      | <b>\$ 1,125,658</b>         | <b>66,368</b>                    | <b>\$ 17.79</b>          | <b>\$ 98.39</b>     | <b>\$ 1,489,114</b>         | <b>\$ 130.16</b>         |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

**Annual Percentage Change SFY02 – SFY03**

| Category of Service            | Enrollee Months | Unique Utilizers | Units <sup>1</sup> | Completed Encounter Dollars | Annual Units per 1,000 Enrollees | Average Cost per Service | Encounter Cost PEPM | Financial Statement Dollars | Financial Statement PEPM |
|--------------------------------|-----------------|------------------|--------------------|-----------------------------|----------------------------------|--------------------------|---------------------|-----------------------------|--------------------------|
| Treatment Services             | -15.2%          | -45.9%           | -58.6%             | -54.5%                      | -51.2%                           | 10.0%                    | -46.3%              | -47.0%                      | -37.6%                   |
| Rehabilitation Services        | -15.2%          | 43.3%            | 185.5%             | 190.8%                      | 236.6%                           | 1.8%                     | 242.8%              | 5.9%                        | 24.8%                    |
| Medical Services               | -15.2%          | -79.7%           | -89.2%             | -87.1%                      | -87.2%                           | 19.6%                    | -84.7%              | -85.7%                      | -83.1%                   |
| Support Services               | -15.2%          | -19.5%           | -8.2%              | -26.3%                      | 8.2%                             | -19.7%                   | -13.1%              | -44.0%                      | -34.0%                   |
| Crisis Intervention Services   | -15.2%          | 221.2%           | -50.3%             | 10.4%                       | -41.4%                           | 122.0%                   | 30.1%               | -23.1%                      | -9.4%                    |
| Inpatient Services             | -15.2%          | -63.9%           | -62.7%             | -69.9%                      | -56.0%                           | -19.1%                   | -64.5%              | -53.5%                      | -45.2%                   |
| Residential Services           | -15.2%          | -25.0%           | -27.3%             | -58.8%                      | -14.3%                           | -43.4%                   | -51.5%              | 74.4%                       | 105.7%                   |
| Behavioral Health Day Programs | -15.2%          | 400.0%           | 3712.6%            | 5426.2%                     | 4395.1%                          | 44.9%                    | 6415.4%             | -83.4%                      | -80.5%                   |
| Medications                    | -15.2%          | -65.3%           | -75.3%             | -66.7%                      | -70.9%                           | 35.0%                    | -60.7%              | -60.7%                      | -53.7%                   |
| <b>Total</b>                   | <b>-15.2%</b>   | <b>-23.4%</b>    | <b>-46.3%</b>      | <b>-46.6%</b>               | <b>-36.7%</b>                    | <b>-0.5%</b>             | <b>-37.1%</b>       | <b>-42.2%</b>               | <b>-31.8%</b>            |

<sup>1</sup> Units represent a sum of all units within each category of service. Units within each category of service may or may not be uniform (i.e., days, hours, etc.)

### **Summary of Historical Rates**

The capitation rates paid to the contractor in Gila and Pinal Counties are presented below.

| Program                                    | SFY01    | SFY02    | SFY03    | SFY04    | SFY05 <sup>1</sup> |
|--|----------|----------|----------|----------|--------------------|
| Title XIX Children - Non-CMDP <sup>1</sup> | \$ 19.42 | \$ 30.01 | \$ 31.71 | \$ 37.28 | \$ 41.49           |
| Title XIX Children - CMDP <sup>1</sup>     | \$ 19.42 | \$ 30.01 | \$ 31.71 | \$ 37.28 | \$ 937.31          |
| Title XIX SMI Adults                       | \$ 48.79 | \$ 54.60 | \$ 46.16 | \$ 42.28 | \$ 39.00           |
| Title XIX GMH/SA Adults                    | \$ 12.86 | \$ 16.38 | \$ 20.86 | \$ 34.29 | \$ 45.21           |
| Title XXI Children                         | \$ 10.23 | \$ 17.44 | \$ 17.44 | \$ 16.77 | \$ 20.01           |
| Title XXI Adults                           | \$ 31.50 | \$ 31.50 | \$ 31.50 | \$ 16.51 | \$ 12.87           |
| Title XXI HIFA II Adults — SMI             | –        | –        | \$ 46.16 | \$ 16.51 | \$ 12.87           |
| Title XXI HIFA II Adults — GMH/SA          | –        | –        | \$ 20.86 | \$ 13.72 | \$ 14.92           |

<sup>1</sup> Capitation rates shown for SFY01-SFY04 represent rates paid for the entire Title XIX Children’s population. SFY05 was the first year capitation rates were developed specifically for the CMDP population. The combined SFY05 All Children rate is \$52.76.

## Summary of Title XIX, Title XXI, and Non-Title XIX/XXI Disbursements

### SFY01

| Category                            | Amount Paid YTD        |
|-------------------------------------|------------------------|
| <b>SMI - NON TITLE XIX/XXI</b>      |                        |
| SMI SERVICES                        | \$ 1,976,783.00        |
| SMI SERVICES                        |                        |
| SMI - PHF                           | \$ 480,000.00          |
| SMI - HOMELESS MATCH                | \$ -                   |
| RESIDENTIAL IP BEDS                 | \$ -                   |
| PSYCHO DRUGS                        | \$ 62,488.00           |
| SMI/SA DUAL                         | \$ 458,549.00          |
| SMI/SA DUAL                         | \$ -                   |
| MENTAL HEALTH - SMI                 | \$ 93,113.00           |
| SUB ABUSE/ALCOHOL - SMI             | \$ -                   |
| MENTAL HEALTH - PHF                 | \$ -                   |
| GERIATRIC BEDS                      | \$ 86,229.00           |
| PSYCHOTROPIC MEDS                   | \$ 103,590.00          |
| PSYCHO MEDS- NEW GENERATION         | \$ 85,400.00           |
| PSYCHOTROPIC MEDS-TOB TAX           | \$ 388,165.00          |
| MHBG 00 - SMI                       | \$ 37,035.00           |
| MHBG 01 - SMI                       | \$ -                   |
| HOMELESS SMI GRANT                  | \$ -                   |
| MARICOPA COUNTY IGA - FY 2001       | \$ -                   |
| <b>SUBTOTAL</b>                     | <b>\$ 3,771,352.00</b> |
| <b>COMMUNITY PLACEMENT</b>          |                        |
| <b>ASH SUPV. CARE MOVE FY00-01</b>  | <b>\$ 147,825.00</b>   |
| <b>SMI - ADULT TITLE XIX</b>        |                        |
| SMI - ADULT TITLE XIX               | \$ 3,963,162.91        |
| PROPOSITION 204                     | \$ 268,491.37          |
| PROPOSITION 204 - OUTREACH          | \$ 7,680.00            |
| AHCCCS MEDICAID SUPPLEMENT - ST     | \$ 294,742.87          |
| AHCCCS MEDICAID SUPPLEMENT - FED    | \$ 566,323.07          |
| DES/DD - FY 01                      | \$ 89,118.47           |
| <b>SUBTOTAL</b>                     | <b>\$ 5,189,518.69</b> |
| <b>CHILDREN - NON TITLE XIX/XXI</b> |                        |
| CHILDREN'S TREATMENT                | \$ 271,960.00          |
| CHILDREN - M/H                      | \$ -                   |
| SA/ALCOHOL - CHILDREN               | \$ 33,364.00           |
| SA/DRUG - CHILDREN                  | \$ 55,491.00           |
| SEH CHILDREN                        | \$ 228,820.00          |
| CHILDREN'S RESPITE CARE             | \$ 11,534.00           |
| CHILDREN SERVICES TOB SETTLEMENT    | \$ 171,728.00          |
| MHBG 00 SED CHILD/MH                | \$ 129,918.00          |
| MHBG 01 SED CHILD/MH                | \$ 89,583.00           |
| MARICOPA COUNTY IGA                 | \$ -                   |
| MOHAVE COUNTY ICMP                  | \$ -                   |

| Category                       | Amount Paid YTD        |
|--------------------------------|------------------------|
| MARICOPA COUNTY ICMP           | \$ -                   |
| <b>SUBTOTAL</b>                | <b>\$ 992,398.00</b>   |
| <b>CHILDREN - TITLE XIX</b>    |                        |
| TXIX CHILDRENS CAP             | \$ 2,989,786.68        |
| PROPOSITION 204                | \$ 1,145.78            |
| AHCCCS MEDICAID SUPPLEMENT - S | \$ 216,225.81          |
| AHCCCS MEDICAID SUPPLEMENT - F | \$ 415,459.31          |
| DES/DDD - FY 01                | \$ 61,958.10           |
| <b>SUBTOTAL</b>                | <b>\$ 3,684,575.68</b> |
| <b>KIDSCARE-TITLE XXI</b>      |                        |
| TXXI/CAP/18YO SVCS             | \$ 59,566.50           |
| TXXI/CAP/CHILDREN'S SVCS.      | \$ 208,997.97          |
| <b>SUBTOTAL</b>                | <b>\$ 268,564.47</b>   |
| <b>ALCOHOL</b>                 |                        |
| SA/ALCOHOL - ADULT             | \$ 403,393.00          |
| ALCOHOL ABUSE TX               | \$ 50,532.00           |
| ALCOHOL FINES                  | \$ 41,831.00           |
| COMMUNITY THERAPY TX           | \$ -                   |
| SABG 00 ALCOHOL                | \$ 86,493.00           |
| SABG 01 ALCOHOL                | \$ 199,197.00          |
| SABG 00 CONTIN PW - ALCOHOL    | \$ 3,573.00            |
| SABG 01 NEW PW - ALCOHOL       | \$ 9,069.00            |
| SABG 00 CONTIN PW - ALCOHOL    | \$ 3,298.00            |
| SABG 01 NEW PW - ALCOHOL       | \$ 9,894.00            |
| SABG 00 HIV/AIDS - ALCOHOL     | \$ 22,422.00           |
| MARICOPA COUNTY IGA            | \$ -                   |
| LIQUOR SERVICE FEES            | \$ -                   |
| CITY OF PHOENIX - LARC         | \$ -                   |
| <b>SUBTOTAL</b>                | <b>\$ 829,702.00</b>   |
| <b>TOBACCO TAX</b>             |                        |
| TOBACCO TAX                    | \$ 189,738.00          |
| DETOX PROGRAM                  | \$ -                   |
| <b>SUBTOTAL</b>                | <b>\$ 189,738.00</b>   |
| <b>DRUG</b>                    |                        |
| SA/DRUG - ADULT                | \$ 217,445.00          |
| DRUG FINES                     | \$ 24,380.00           |
| COMMUNITY THERAPY TX           | \$ -                   |
| SABG 00 DRUG - GENERAL         | \$ 87,212.00           |
| SABG 01 DRUG - GENERAL         | \$ 223,089.00          |
| SABG 00 CONTIN PW - DRUG       | \$ 3,907.00            |
| SABG 01 NEW PW - DRUG          | \$ 9,918.00            |
| SABG 00 CONTIN PW - DRUG       | \$ 3,607.00            |
| SABG 01 NEW PW - DRUG          | \$ 10,821.00           |
| SABG 00 HIV/AIDS - DRUG        | \$ 21,935.00           |
| <b>SUBTOTAL</b>                | <b>\$ 602,314.00</b>   |

| Category                       | Amount Paid YTD         |
|--------------------------------|-------------------------|
| <b>SUBSTANCE ABUSE - TXIX</b>  |                         |
| AHCCCS/TITLE XIX/MH SA SVCS    | \$ 934,273.47           |
| PROPOSITION 204                | \$ 47,183.34            |
| PROPOSITION 204                | \$ 23,585.24            |
| AHCCCS MEDICAID SUPPLEMENT - S | \$ 111,659.22           |
| AHCCCS MEDICAID SUPPLEMENT - F | \$ 214,637.56           |
| <b>SUBTOTAL</b>                | <b>\$ 1,331,338.83</b>  |
| <b>MENTAL HEALTH</b>           |                         |
| MENTAL HEALTH - ADULT          | \$ 804,644.00           |
| MARICOPA COUNTY IGA            | \$ -                    |
| COMPULSIVE GAMBLING ISA        | \$ 4,743.00             |
| PIMA CO. IGA                   | \$ -                    |
| <b>SUBTOTAL</b>                | <b>\$ 809,387.00</b>    |
| <b>DEPT. OF CORRECTION</b>     |                         |
| ADOC - COL STAFF               | \$ 43,750.00            |
| ADOC - AFTER CARE              | \$ 148,286.76           |
| <b>SUBTOTAL</b>                | <b>\$ 192,036.76</b>    |
| <b>CLAIMS PROCESSING</b>       |                         |
| THIRD PARTY PAYOR              | \$ 37,329.00            |
| THIRD PARTY PAYOR              | \$ 36,520.00            |
| THIRD PARTY PAYOR FIXED        | \$ -                    |
| THIRD PARTY PAYOR FIXED        | \$ 50,000.00            |
| <b>SUBTOTAL</b>                | <b>\$ 123,849.00</b>    |
| <b>PREVENTION</b>              |                         |
| SA/ALCOHOL - PREVENTION        | \$ -                    |
| SA/DRUG - PREVENTION           | \$ -                    |
| MH - PREVENTION                | \$ 66,871.00            |
| CHILDREN'S PREVENTION          | \$ 289,944.00           |
| SIG COOP PREV                  | \$ 30,701.00            |
| SIG COOP PREV                  | \$ 369,932.00           |
| SABG 00 PREVENTION - ALC       | \$ 29,502.00            |
| SABG 01 PREVENTION - ALC       | \$ 87,219.00            |
| SABG 00 PREVENTION - DRUG      | \$ 25,582.00            |
| SABG 01 PREVENTION - DRUG      | \$ 64,898.00            |
| <b>SUBTOTAL</b>                | <b>\$ 964,649.00</b>    |
| <b>PASAAR</b>                  |                         |
| <b>PASAAR</b>                  | <b>\$ 600.00</b>        |
| <b>SUMMARY TOTAL</b>           | <b>\$ 19,097,848.43</b> |

**SFY02**

| Category                          | Amount Paid YTD        |
|-----------------------------------|------------------------|
| <b>CHILDREN'S SERVICES</b>        |                        |
| <b>State Funds</b>                |                        |
| Services                          | \$ 251,200.00          |
| SEH Children                      | \$ 246,166.66          |
| SEH Children                      | \$ 55,277.00           |
| HB 2003 — Tob Tax Set — E1H69727  | \$ 294,000.00          |
| MN/MI — Tobacco Tax               | \$ -                   |
| <b>Subtotal</b>                   | <b>\$ 846,643.66</b>   |
| <b>Federal Funds</b>              |                        |
| CMHS SED Mental Health            | \$ 181,854.00          |
| CMHS SED Mental Health            | \$ 84,669.00           |
| <b>Subtotal</b>                   | <b>\$ 266,523.00</b>   |
| <b>Title XIX Funds</b>            |                        |
| Capitation                        | \$ 6,274,525.81        |
| Prop 204                          | \$ 68,122.70           |
| DES/DD                            | \$ 164,089.85          |
| SEH Children/NTXIX to TXIX        | \$ -                   |
| <b>Subtotal</b>                   | <b>\$ 6,506,738.36</b> |
| <b>Title XXI — KidsCare Funds</b> |                        |
| Children's Services               | \$ 410,729.44          |
| 18 Year-Old Services              | \$ 41,170.50           |
| <b>Subtotal</b>                   | <b>\$ 451,899.94</b>   |
| <b>SMI SERVICES</b>               |                        |
| <b>State Funds</b>                |                        |
| Services                          | \$ 311,900.00          |
| SMI Services — Tobacco Settlement | \$ 1,101,700.00        |
| Homeless Match                    | \$ -                   |
| Psychotropic Meds                 | \$ 103,600.00          |
| Psychotropic Drugs                | \$ 460,100.00          |
| HB 2003 — Tob Settle — E1H69727   | \$ 458,549.00          |
| Arnold v Sarn                     | \$ 666,900.00          |
| Community Placement — ASH         | \$ -                   |
| Community Placement — ASH         | \$ 197,100.00          |
| Community Placement — ASH         | \$ -                   |
| Tobacco Tax — MN/MI               | \$ -                   |
| Residual Balance                  | \$ 504,800.00          |
| <b>Subtotal</b>                   | <b>\$ 3,804,649.00</b> |
| <b>Federal Funds</b>              |                        |
| CMHS SMI                          | \$ 41,575.00           |
| CMHS SMI                          | \$ -                   |
| Homeless Path Grant               | \$ -                   |
| <b>Subtotal</b>                   | <b>\$ 41,575.00</b>    |

| Category                        | Amount Paid YTD         |
|---------------------------------|-------------------------|
| <b>Title XIX Funds</b>          |                         |
| Capitation                      | \$ 5,680,201.80         |
| Capitation                      | \$ 563,417.40           |
| Prop 204                        | \$ 3,510,725.40         |
| Prop 204 Outreach               | \$ 9,961.80             |
| Prop 204 Outreach/Incentive     | \$ 390.00               |
| Prop 204 Outreach/Incentive     | \$ 15,360.00            |
| Prop 204 Outreach/Incentive     |                         |
| DES/DDD                         | \$ 223,606.78           |
| <b>Subtotal</b>                 | <b>\$ 10,003,663.18</b> |
| <b>SUBSTANCE ABUSE SERVICES</b> |                         |
| <b>State Funds</b>              |                         |
| Adults                          | \$ 605,900.00           |
| Children                        | \$ 35,900.00            |
| Substance Abuse Treatment       | \$ 50,500.00            |
| Substance Abuse Fines           | \$ 66,200.00            |
| Tobacco Tax — MN/MI             | \$ -                    |
| Rural Detox — Tobacco Tax       | \$ -                    |
| <b>Subtotal</b>                 | <b>\$ 758,500.00</b>    |
| <b>Federal Funds</b>            |                         |
| SAPT PPP — Substance Abuse      | \$ 173,705.00           |
| SAPT PPP — Substance Abuse      | \$ 422,286.00           |
| SAPT PPP — Preg/Parenting Women | \$ 14,385.00            |
| SAPT PPP — Preg/Parenting Women | \$ 39,702.00            |
| SAPT HIV/AIDS                   | \$ 43,357.00            |
| <b>Subtotal</b>                 | <b>\$ 693,435.00</b>    |
| <b>Other Funds</b>              |                         |
| Liquor Fees                     |                         |
| City of Phoenix — LARC          |                         |
| City of Phoenix — LARC          |                         |
| Perinatal Substance Abuse       |                         |
| <b>Subtotal</b>                 | <b>\$ -</b>             |
| <b>GENERAL MENTAL HEALTH</b>    |                         |
| <b>State Funds</b>              |                         |
| Adults                          | \$ 380,000.00           |
| Tobacco Tax — MN/MI             | \$ 113,800.00           |
| <b>Subtotal</b>                 | <b>\$ 493,800.00</b>    |
| <b>Other Funds</b>              |                         |
| Compulsive Gambling ISA         | \$ 3,797.00             |
| Compulsive Gambling ISA         | \$ 5,339.52             |
| <b>Subtotal</b>                 | <b>\$ 9,136.52</b>      |
| <b>Title XIX Funds</b>          |                         |

| Category                         | Amount Paid YTD         |
|----------------------------------|-------------------------|
| Prop 204 Outreach                | \$ 9,961.80             |
| Capitation                       | \$ 1,873,085.76         |
| Proposition 204                  | \$ 1,053,217.62         |
| <b>Subtotal</b>                  | <b>\$ 2,936,265.18</b>  |
| <b>PREVENTION SERVICES</b>       |                         |
| <b>State Funds</b>               |                         |
| Children's Prevention            | \$ 325,000.00           |
| Substance Abuse Prevention       | \$ -                    |
| Mental Health Prevention         | \$ 66,900.00            |
| Tobacco Tax — MN/MI              | \$ -                    |
| <b>Subtotal</b>                  | <b>\$ 391,900.00</b>    |
| <b>Federal Funds</b>             |                         |
| SAPT PPP                         | \$ 55,084.00            |
| SAPT PPP                         | \$ 152,117.00           |
| SIG Coop Prevention              | \$ 444,191.00           |
| <b>Subtotal</b>                  | <b>\$ 651,392.00</b>    |
| <b>OTHER FUNDS</b>               |                         |
| <b>Department of Corrections</b> |                         |
| ADOC — After Care                | \$ 10,606.85            |
| ADOC — After Care                | \$ 120,355.27           |
| ADOC — COL Staff                 | \$ 43,750.00            |
| <b>Subtotal</b>                  | <b>\$ 174,712.12</b>    |
| <b>Claims Processing</b>         |                         |
| Third Party Payor                | \$ 25,000.00            |
| Third Party Payor                | \$ 16,005.00            |
| <b>Subtotal</b>                  | <b>\$ 41,005.00</b>     |
| PASARR                           |                         |
| <b>Subtotal</b>                  | <b>\$ -</b>             |
| <b>SUMMARY TOTAL</b>             | <b>\$ 28,071,837.96</b> |

**SFY03**

| Category                            | Amount Paid YTD        |
|-------------------------------------|------------------------|
| <b>CHILDREN'S SERVICES</b>          |                        |
| <b>State Funds</b>                  |                        |
| Children's Services                 | \$ 251,200.00          |
| SEH Children                        | \$ -                   |
| Children's MN/MI — Tobacco Tax      | \$ -                   |
| <b>Subtotal</b>                     | <b>\$ 251,200.00</b>   |
| <b>HB 2003 — Tobacco Settlement</b> |                        |
| Children's Services — E1H69727 001  | \$ 294,780.00          |
| Converted to Services               | \$ -                   |
| Training                            | \$ -                   |
| <b>Subtotal</b>                     | <b>\$ 294,780.00</b>   |
| <b>Federal Funds</b>                |                        |
| CMHS — SED                          | \$ 11,000.00           |
| CMHS — SED                          | \$ 192,067.74          |
| CMHS — SED                          | \$ 84,669.00           |
| <b>Subtotal</b>                     | <b>\$ 287,736.74</b>   |
| <b>Title XIX/Title XXI Funds</b>    |                        |
| Children's Capitation               | \$ 7,538,544.08        |
| Children's Prop 204                 | \$ 82,319.16           |
| Children's DES/DD                   | \$ 194,725.39          |
| Title XXI — KidsCare — Children     | \$ 344,841.12          |
| <b>Subtotal</b>                     | <b>\$ 8,160,429.75</b> |
| <b>TOTAL CHILDREN</b>               | <b>\$ 8,994,146.49</b> |
| <b>SMI SERVICES</b>                 |                        |
| <b>State Funds</b>                  |                        |
| HB 2003 SMI Conv to Services — 2m   | \$ 84,158.00           |
| SMI Services                        | \$ 2,190,635.00        |
| Homeless Match                      | \$ -                   |
| Psychotropic Meds                   | \$ 103,600.00          |
| Psychotropic Drugs                  | \$ 460,100.00          |
| Arnold v Sarn                       | \$ 666,900.00          |
| Community Placement — ASH           | \$ 33,200.00           |
| Community Placement — ASH           | \$ 163,800.00          |
| MN/MI — Tobacco Tax                 | \$ -                   |
| MN/MI — Tobacco Tax                 | \$ -                   |
| <b>Subtotal</b>                     | <b>\$ 3,702,393.00</b> |
| <b>HB 2003 — Tobacco Settlement</b> | <b>\$ 683,071.00</b>   |
| <b>Federal Funds</b>                |                        |
| CMHS — SMI                          | \$ 1,000.00            |
| CMHS — SMI                          | \$ 42,274.60           |
| CMHS — SMI                          | \$ -                   |

| Category                         | Amount Paid YTD         |
|----------------------------------|-------------------------|
| Homeless Path Grant              | \$ -                    |
| <b>Subtotal</b>                  | <b>\$ 43,274.60</b>     |
| <b>Title XIX/Title XXI Funds</b> |                         |
| SMI Capitation                   | \$ 5,919,356.96         |
| SMI Capitation — Admin           | \$ -                    |
| SMI Prop 204                     | \$ -                    |
| SMI Prop 204                     | \$ 4,829,951.60         |
| SMI Prop 204 — Admin             | \$ -                    |
| SMI DES/DDD                      | \$ 268,189.99           |
| Title XXI — KidsCare — Over 17   | \$ 25,515.00            |
| Title XXI — HIFA II              | \$ 88,165.60            |
| <b>Subtotal</b>                  | <b>\$ 11,131,179.15</b> |
| <b>AZ Integ Treatment Panel</b>  | <b>\$ 3,000.00</b>      |
| <b>TOTAL SMI SERVICES</b>        | <b>\$ 15,562,917.75</b> |
| <b>SUBSTANCE ABUSE SERVICES</b>  |                         |
| <b>State Funds</b>               |                         |
| SA Adults                        | \$ 581,000.00           |
| SA Children                      | \$ 35,900.00            |
| SA Treatment                     | \$ 118,700.00           |
| SA Fines                         | \$ 66,199.98            |
| SA MN/MI — Tobacco Tax           | \$ -                    |
| Rural Detox — Tobacco Tax        | \$ -                    |
| SA MN/MI — Tobacco Tax           | \$ -                    |
| Rural Detox — Tobacco Tax        | \$ -                    |
| <b>Subtotal</b>                  | <b>\$ 801,799.98</b>    |
| <b>Federal Funds</b>             |                         |
| SAPT — SA                        | \$ 214,042.00           |
| SAPT — SA                        | \$ 422,286.00           |
| SAPT — Preg/Parent Women         | \$ 14,385.00            |
| SAPT — Preg/Parent Women         | \$ 39,702.00            |
| SAPT HIV/AIDS                    | \$ -                    |
| SAPT HIV/AIDS                    | \$ 43,359.00            |
| <b>Subtotal</b>                  | <b>\$ 733,774.00</b>    |
| <b>Other Funds</b>               |                         |
| Liquor Fees                      | \$ -                    |
| Perinatal Substance Abuse        | \$ -                    |
| City of Phoenix - LARC           | \$ -                    |
| ADOC — COL Staff                 | \$ 46,875.00            |
| ADOC — After Care                | \$ -                    |
| ADOC — After Care                | \$ 92,880.00            |
| ADOC — CTS Positions             | \$ -                    |
| ADOC — COOL Offender Housing     | \$ -                    |
| <b>Subtotal</b>                  | <b>\$ 139,755.00</b>    |

| Category                         | Amount Paid YTD         |
|----------------------------------|-------------------------|
| <b>TOTAL SA SERVICES</b>         | <b>\$ 1,675,328.98</b>  |
| <b>GENERAL MENTAL HEALTH</b>     |                         |
| <b>State Funds</b>               |                         |
| GMH Adults                       | \$ 89,900.00            |
| GMH MN/MI — Tobacco Tax          | \$ 56,900.00            |
| GMH MN/MI — Tobacco Tax          | \$ 56,900.00            |
| <b>Subtotal</b>                  | <b>\$ 203,700.00</b>    |
| <b>Other Funds</b>               |                         |
| Compulsive Gambling ISA          |                         |
| Compulsive Gambling ISA          | \$ 395.28               |
| FEMA                             | \$ 17,320.68            |
| Community Counseling             |                         |
| <b>Subtotal</b>                  | <b>\$ 17,715.96</b>     |
| <b>Title XIX/Title XXI Funds</b> |                         |
| GMH Capitation                   | \$ 2,710,034.56         |
| GMH Prop 204                     | \$ 2,182,686.10         |
| Title XXI — HIFA II              | \$ 39,842.60            |
| <b>Subtotal</b>                  | <b>\$ 4,932,563.26</b>  |
| <b>TOTAL GMH SERVICES</b>        | <b>\$ 5,153,979.22</b>  |
| <b>PREVENTION SERVICES</b>       |                         |
| <b>State Funds</b>               |                         |
| Prevention — Children            | \$ 325,000.00           |
| Prevention — S/A                 | \$ -                    |
| Prevention MN/MI — Tobacco Tax   | \$ -                    |
| <b>Subtotal</b>                  | <b>\$ 325,000.00</b>    |
| <b>Federal Funds</b>             |                         |
| SAPT Prevention                  | \$ 64,556.41            |
| SAPT Prevention                  | \$ 152,117.00           |
| SIG Coop Prevention              | \$ 138,165.00           |
| <b>Subtotal</b>                  | <b>\$ 354,838.41</b>    |
| <b>TOTAL PREVENTION SERVICES</b> | <b>\$ 679,838.41</b>    |
| <b>OTHER SERVICES</b>            |                         |
| PASARR                           | \$ -                    |
| <b>SUMMARY TOTAL</b>             | <b>\$ 32,066,210.85</b> |

### Summary of Revenues and Expenses

This section includes audited behavioral health revenue and expenses by program for Gila and Pinal Counties. The information was taken from the audited financial statements submitted by the Contractor for SFY01 through SFY03. Expenses reported are net of pharmacy rebates.

#### SFY01

| Category of Service          | Title XIX Child  | Non-Title XIX Child | Title XXI Child | Title XIX SMI    | Non-Title XIX SMI | Title XXI SMI | Title XIX GMH/SA | Non-Title XIX GMH | Other            | Mgt & Gen     | Total             |
|------------------------------|------------------|---------------------|-----------------|------------------|-------------------|---------------|------------------|-------------------|------------------|---------------|-------------------|
| <b>TOTAL REVENUE</b>         | 3,723,893        | 934,042             | 222,219         | 5,220,159        | 4,179,232         | 35,266        | 1,343,695        | 2,059,199         | 1,549,098        | 529,534       | 19,796,337        |
| Service Expense              | 4,100,923        | 1,317,340           | 221,614         | 3,630,984        | 3,182,593         | 48            | 1,646,763        | 3,763,327         | 1,718,471        | -             | 19,582,063        |
| Other and Unrelated Business | 57,433           | 18,912              | 2,907           | 44,757           | 45,375            | -             | 18,049           | 50,011            | 3,367            | 66,156        | 306,967           |
| Admin Expense                | 203,948          | 67,137              | 10,271          | 192,281          | 176,544           | 2             | 85,261           | 210,157           | 136,915          | 5,169         | 1,087,685         |
| <b>TOTAL EXPENSES</b>        | <b>4,362,304</b> | <b>1,403,389</b>    | <b>234,792</b>  | <b>3,868,022</b> | <b>3,404,512</b>  | <b>50</b>     | <b>1,750,073</b> | <b>4,023,495</b>  | <b>1,858,753</b> | <b>71,325</b> | <b>20,976,715</b> |

#### SFY02

| Category of Service          | Title XIX Child  | Non-Title XIX Child | Title XXI Child | Title XIX SMI    | Non-Title XIX SMI | Title XXI SMI  | Title XIX GMH/SA | Non-Title XIX GMH | Other            | Mgt & Gen     | Total             |
|------------------------------|------------------|---------------------|-----------------|------------------|-------------------|----------------|------------------|-------------------|------------------|---------------|-------------------|
| <b>TOTAL REVENUE</b>         | 6,509,958        | 1,148,821           | 409,088         | 10,003,663       | 3,387,674         | 41,171         | 2,936,265        | 1,845,472         | 2,092,459        | 390,091       | 28,764,662        |
| Service Expense              | 7,309,679        | 1,504,961           | 450,320         | 6,253,614        | 2,898,582         | 142,490        | 4,481,001        | 2,587,222         | 1,750,496        | 30            | 27,378,395        |
| Other and Unrelated Business | -                | -                   | -               | -                | -                 | -              | -                | -                 | -                | 91,248        | 91,248            |
| Admin Expense                | 471,654          | 98,244              | 22,828          | 375,256          | 168,011           | 9,399          | 277,211          | 171,676           | 214,388          | 71            | 1,808,738         |
| <b>TOTAL EXPENSES</b>        | <b>7,781,333</b> | <b>1,603,205</b>    | <b>473,148</b>  | <b>6,628,870</b> | <b>3,066,593</b>  | <b>151,889</b> | <b>4,758,212</b> | <b>2,758,898</b>  | <b>1,964,884</b> | <b>91,349</b> | <b>29,278,381</b> |

**SFY03**

| Category of Service          | Title XIX Child   | Non-Title XIX Child | Title XXI Child | Title XIX SMI    | Non-Title XIX SMI | Title XXI SMI | Title XIX GMH/SA | Non-Title XIX GMH | Other            | Mgt & Gen      | Total             |
|------------------------------|-------------------|---------------------|-----------------|------------------|-------------------|---------------|------------------|-------------------|------------------|----------------|-------------------|
| <b>TOTAL REVENUE</b>         | 10,247,584        | 406,239             | 344,841         | 10,761,671       | 3,748,668         | 25,515        | 6,850,513        | 1,756,990         | 2,918,402        | 212,060        | 37,272,483        |
| Service Expense              | 10,220,894        | 593,264             | 438,671         | 9,249,122        | 3,151,549         | 3,889         | 7,789,948        | 1,504,004         | 2,427,713        | -              | 35,379,054        |
| Other and Unrelated Business | -                 | -                   | -               | -                | -                 | -             | -                | -                 | -                | 100,758        | 100,758           |
| Admin Expense                | 565,446           | 33,910              | 22,845          | 493,421          | 168,023           | 147           | 418,489          | 74,854            | 222,272          | -              | 1,999,407         |
| <b>TOTAL EXPENSES</b>        | <b>10,786,340</b> | <b>627,174</b>      | <b>461,516</b>  | <b>9,742,543</b> | <b>3,319,572</b>  | <b>4,036</b>  | <b>8,208,437</b> | <b>1,578,858</b>  | <b>2,649,985</b> | <b>100,758</b> | <b>37,479,219</b> |

## Diagnosis Prevalence

### Title XIX/XXI Children

| Diagnosis from Clinical Data Submissions |   |                           |                           |
|--|---|---------------------------|---------------------------|
| Code                                     | Description   | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
|  | Unknown   | 895                       |                           |
| 314.01                                   | Attention-Deficit/Hyperactivity Disorder, Combined Type | 203                       |                           |
| 313.81                                   | Oppositional Defiant Disorder                           | 77                        |                           |
| 311.                                     | Other Mood Disorders                                    | 63                        |                           |
| 309.81                                   | Anxiety Disorders                                       | 27                        |                           |
| 314.00                                   | Attention Deficit Disorder                              | 20                        |                           |
| 314.9                                    | Attention Deficit Disorder                              | 19                        |                           |
| 312.9                                    | Disruptive Behavior                                     | 16                        |                           |
| 309.4                                    | Adjustment Disorder                                     | 14                        |                           |
| 300.4                                    | Other Mood Disorders                                    | 13                        |                           |
| 300.02                                   | Anxiety Disorders                                       | 11                        |                           |
| 300.00                                   | Anxiety Disorder NOS                                    | 10                        |                           |
| 309.24                                   | Adjustment Disorder                                     | 10                        |                           |
| 296.80                                   | Bipolar Disorder NOS                                    | 9                         |                           |
| V61.20                                   | Parent-Child Relational Problem                         | 9                         |                           |

| Diagnosis from Encounters |   |              |
|---------------------------|---|--------------|
| Code                      | Description   | Client Count |
| 314.01                    | Attention-Deficit/Hyperactivity Disorder, Combined Type | 679          |
| 313.81                    | Oppositional Defiant Disorder                           | 325          |
| 311                       | Other Mood Disorders                                    | 309          |
| 314.00                    | Attention Deficit Disorder                              | 177          |
| 309.4                     | Adjustment Disorder                                     | 135          |
| V61.20                    | Parent-Child Relational Problem                         | 113          |
| 300.02                    | Anxiety Disorders                                       | 104          |
| 309.81                    | Anxiety Disorders                                       | 92           |
| 314.9                     | Attention Deficit Disorder                              | 82           |
| 312.9                     | Disruptive Behavior                                     | 76           |
| 300.4                     | Other Mood Disorders                                    | 71           |
| 312.81                    | Conduct Disorder, Childhood Onset Type                  | 57           |
| 309.0                     | Adjustment Disorder                                     | 57           |
| 309.9                     | Adjustment Disorder                                     | 45           |
| 296.90                    | Mood Disorder NOS                                       | 44           |

<sup>1</sup> Primary Diagnosis

<sup>2</sup> Number of clients with a secondary diagnosis, if present

| Diagnosis from Clinical Data Submissions |  |                           |                           |
|--|--|---------------------------|---------------------------|
| Code                                     | Description                            | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
| 312.82                                   | Disorder, conduct, adolescent onset    | 8                         |                           |
| 309.28                                   | Adjustment Disorder                    | 7                         |                           |
| 312.81                                   | Conduct Disorder, Childhood Onset Type | 7                         |                           |
| 296.90                                   | Mood Disorder NOS                      | 6                         |                           |
| 309.0                                    | Adjustment Disorder                    | 6                         |                           |

| Diagnosis from Encounters |                                     |              |
|---------------------------|-------------------------------------|--------------|
| Code                      | Description                         | Client Count |
| 309.28                    | Adjustment Disorder                 | 43           |
| 309.24                    | Adjustment Disorder                 | 35           |
| 304.80                    | Polysubstance Dependence            | 35           |
| 309.3                     | Adjustment Disorder                 | 35           |
| 312.82                    | Disorder, conduct, adolescent onset | 33           |

**Non-Title XIX/XXI Children**

| Diagnosis from Clinical Data Submissions |   |                           |                           |
|--|---|---------------------------|---------------------------|
| Code                                     | Description   | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
|  | Unknown   | 426                       |                           |
| 314.01                                   | Attention-Deficit/Hyperactivity Disorder, Combined Type | 33                        |                           |
| 313.81                                   | Oppositional Defiant Disorder                           | 21                        |                           |
| 311.                                     | Other Mood Disorders                                    | 20                        |                           |
| 314.00                                   | Attention Deficit Disorder                              | 8                         |                           |
| 309.81                                   | Anxiety Disorders                                       | 6                         |                           |
| 305.20                                   | Abuse/Dependence/Withdrawal                             | 5                         |                           |
| 312.9                                    | Disruptive Behavior                                     | 5                         |                           |
| 309.4                                    | Adjustment Disorder                                     | 4                         |                           |
| 296.90                                   | Mood Disorder NOS                                       | 3                         |                           |
| 300.4                                    | Other Mood Disorders                                    | 3                         |                           |
| 309.0                                    | Adjustment Disorder                                     | 3                         |                           |
| 309.21                                   | Disorder, separation anxiety                            | 3                         |                           |
| 312.34                                   | Disorder, intermittent explosive                        | 3                         |                           |
| 314.9                                    | Attention Deficit Disorder                              | 3                         |                           |
| 295.90                                   | Schizophrenia, Undifferentiated Type                    | 2                         |                           |

| Diagnosis from Encounters |   |              |
|---------------------------|---|--------------|
| Code                      | Description   | Client Count |
| 314.01                    | Attention-Deficit/Hyperactivity Disorder, Combined Type | 692          |
| 313.81                    | Oppositional Defiant Disorder                           | 335          |
| 311                       | Other Mood Disorders                                    | 317          |
| 314.00                    | Attention Deficit Disorder                              | 179          |
| 309.4                     | Adjustment Disorder                                     | 136          |
| V61.20                    | Parent-Child Relational Problem                         | 114          |
| 300.02                    | Anxiety Disorders                                       | 105          |
| 309.81                    | Anxiety Disorders                                       | 94           |
| 314.9                     | Attention Deficit Disorder                              | 84           |
| 312.9                     | Disruptive Behavior                                     | 78           |
| 300.4                     | Other Mood Disorders                                    | 74           |
| 312.81                    | Conduct Disorder, Childhood Onset Type                  | 65           |
| 309.0                     | Adjustment Disorder                                     | 59           |
| 309.9                     | Adjustment Disorder                                     | 47           |
| 296.90                    | Mood Disorder NOS                                       | 46           |
| 309.28                    | Adjustment Disorder                                     | 44           |

<sup>1</sup> Primary Diagnosis

<sup>2</sup> Number of clients with a secondary diagnosis, if present

| Diagnosis from Clinical Data Submissions |                   |                           |                           |
|--|-------------------|---------------------------|---------------------------|
| Code                                     | Description       | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
| 296.32                                   | Major Depression  | 2                         |                           |
| 300.02                                   | Anxiety Disorders | 2                         |                           |
| 305.60                                   | Cocaine Abuse     | 2                         |                           |
| 305.70                                   | Amphetamine Abuse | 2                         |                           |

| Diagnosis from Encounters |                                     |              |
|---------------------------|-------------------------------------|--------------|
| Code                      | Description                         | Client Count |
| 312.82                    | Disorder, conduct, adolescent onset | 38           |
| 304.80                    | Polysubstance Dependence            | 37           |
| 309.3                     | Adjustment Disorder                 | 36           |
| 312.89                    | Disorder, conduct, other            | 36           |

**Title XIX Adults — SMI**

| Diagnosis from Clinical Data Submissions |  |                           |                           |
|--|--|---------------------------|---------------------------|
| Code                                     | Description  | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
|  | Unknown  | 82                        |                           |
| 295.70                                   | Schizophrenia  | 53                        |                           |
| 295.30                                   | Schizophrenia  | 40                        |                           |
| 295.90                                   | Schizophrenia, Undifferentiated Type                   | 23                        |                           |
| 298.9                                    | Psychotic Disorder NOS                                 | 21                        |                           |
| V71.09                                   | Observation, mental condition NEC                      | 18                        |                           |
| 296.33                                   | Major Depression                                       | 14                        |                           |
| 296.34                                   | Major depression recurrent-severe w/psychotic features | 14                        |                           |
| 296.80                                   | Bipolar Disorder NOS                                   | 13                        |                           |
| 311.                                     | Other Mood Disorders                                   | 12                        |                           |
| 300.4                                    | Other Mood Disorders                                   | 10                        |                           |
| 296.32                                   | Major Depression                                       | 9                         |                           |
| 309.81                                   | Anxiety Disorders                                      | 7                         |                           |
| 296.30                                   | Major Depression Disorder, Recurrent, Unspecified      | 6                         |                           |
| 296.62                                   | Bipolar I Disorder                                     | 6                         |                           |
| 296.64                                   | Bipolar I Disorder                                     | 6                         |                           |

| Diagnosis from Encounters |  |              |
|---------------------------|--|--------------|
| Code                      | Description  | Client Count |
| 295.70                    | Schizophrenia  | 116          |
| 295.30                    | Schizophrenia  | 81           |
| 298.9                     | Psychotic Disorder NOS                                 | 55           |
| 296.33                    | Major Depression                                       | 55           |
| 311                       | Other Mood Disorders                                   | 53           |
| 296.80                    | Bipolar Disorder NOS                                   | 47           |
| 296.32                    | Major Depression                                       | 44           |
| 296.34                    | Major depression recurrent-severe w/psychotic features | 43           |
| 295.90                    | Schizophrenia, Undifferentiated Type                   | 39           |
| 300.4                     | Other Mood Disorders                                   | 33           |
| 296.90                    | Mood Disorder NOS                                      | 32           |
| 296.30                    | Major Depression Disorder, Recurrent, Unspecified      | 30           |
| 309.81                    | Anxiety Disorders                                      | 28           |
| 301.83                    | Disorder, borderline personality                       | 20           |
| 296.64                    | Bipolar I Disorder                                     | 19           |
| 301.9                     | Disorder, personality NOS                              | 18           |

<sup>1</sup> Primary Diagnosis

<sup>2</sup> Number of clients with a secondary diagnosis, if present

| Diagnosis from Clinical Data Submissions |                                    |                           |                           |
|--|------------------------------------|---------------------------|---------------------------|
| Code                                     | Description                        | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
| 296.89                                   | Other Mood Disorders               | 6                         |                           |
| 295.10                                   | Schizophrenia, disorganized, unspc | 5                         |                           |
| 296.44                                   | Bipolar I Disorder                 | 5                         |                           |

| Diagnosis from Encounters |                                 |              |
|---------------------------|---------------------------------|--------------|
| Code                      | Description                     | Client Count |
| 296.53                    | Bplr afctv dsord, dprsd, severe | 16           |
| 296.89                    | Other Mood Disorders            | 15           |
| 296.44                    | Bipolar I Disorder              | 15           |
| 296.54                    | Bipolar I Disorder              | 14           |

**Title XIX Adults — GMH/SA**

| Diagnosis from Clinical Data Submissions |  |                           |                           |
|--|--|---------------------------|---------------------------|
| Code                                     | Description  | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
|  | Unknown  | 1,363                     |                           |
| 311.                                     | Other Mood Disorders                                   | 93                        |                           |
| 296.32                                   | Major Depression                                       | 56                        |                           |
| 296.33                                   | Major Depression                                       | 53                        |                           |
| 300.4                                    | Other Mood Disorders                                   | 40                        |                           |
| 303.90                                   | Alcohol Dependence                                     | 33                        |                           |
| 296.34                                   | Major depression recurrent-severe w/psychotic features | 24                        |                           |
| 296.30                                   | Major Depression Disorder, Recurrent, Unspecified      | 21                        |                           |
| 300.00                                   | Anxiety Disorder NOS                                   | 20                        |                           |
| 304.80                                   | Polysubstance Dependence                               | 20                        |                           |
| 296.90                                   | Mood Disorder NOS                                      | 19                        |                           |
| 298.9                                    | Psychotic Disorder NOS                                 | 18                        |                           |
| 295.70                                   | Schizophrenia  | 17                        |                           |
| 312.30                                   | Disorder of impulse control NOS                        | 17                        |                           |
| 309.81                                   | Anxiety Disorders                                      | 16                        |                           |
| 304.40                                   | Amphetamine Dependence                                 | 14                        |                           |

| Diagnosis from Encounters |   |              |
|---------------------------|---|--------------|
| Code                      | Description                                       | Client Count |
| 311                       | Other Mood Disorders                              | 594          |
| 303.90                    | Alcohol Dependence                                | 357          |
| 304.80                    | Polysubstance Dependence                          | 334          |
| 304.40                    | Amphetamine Dependence                            | 217          |
| 305.00                    | Alcohol Abuse/Dependence                          | 192          |
| 296.32                    | Major Depression                                  | 186          |
| 300.4                     | Other Mood Disorders                              | 161          |
| 296.33                    | Major Depression                                  | 142          |
| 309.81                    | Anxiety Disorders                                 | 111          |
| V61.10                    | Marital problem counseling NOS                    | 99           |
| 305.70                    | Amphetamine Abuse                                 | 90           |
| 304.30                    | Cannabis Dependence                               | 90           |
| 300.00                    | Anxiety Disorder NOS                              | 82           |
| 296.90                    | Mood Disorder NOS                                 | 79           |
| 296.30                    | Major Depression Disorder, Recurrent, Unspecified | 69           |
| 305.20                    | Abuse/Dependence/Withdrawal                       | 63           |

<sup>1</sup> Primary Diagnosis

<sup>2</sup> Number of clients with a secondary diagnosis, if present

| Diagnosis from Clinical Data Submissions |                                   |                           |                           |
|--|-----------------------------------|---------------------------|---------------------------|
| Code                                     | Description                       | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
| V71.09                                   | Observation, mental condition NEC | 14                        |                           |
| 300.21                                   | Agoraphobia w/panic attacks       | 13                        |                           |
| 305.00                                   | Alcohol Abuse/Dependence          | 13                        |                           |
| 295.30                                   | Schizophrenia                     | 12                        |                           |

| Diagnosis from Encounters |  |              |
|---------------------------|--|--------------|
| Code                      | Description  | Client Count |
| 296.34                    | Major depression recurrent-severe w/psychotic features | 60           |
| 309.0                     | Adjustment Disorder                                    | 54           |
| 300.02                    | Anxiety Disorders                                      | 53           |
| 296.80                    | Bipolar Disorder NOS                                   | 50           |

**Non-Title XIX/XXI Adults — SMI**

| Diagnosis from Clinical Data Submissions |   |                           |                           |
|--|---|---------------------------|---------------------------|
| Code                                     | Description                                       | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
|  | Unknown   | 74                        |                           |
| 295.70                                   | Schizophrenia                                     | 39                        |                           |
| 295.30                                   | Schizophrenia                                     | 25                        |                           |
| V71.09                                   | Observation, mental condition NEC                 | 10                        |                           |
| 296.32                                   | Major Depression                                  | 9                         |                           |
| 298.9                                    | Psychotic Disorder NOS                            | 8                         |                           |
| 295.90                                   | Schizophrenia, Undifferentiated Type              | 7                         |                           |
| 296.30                                   | Major Depression Disorder, Recurrent, Unspecified | 7                         |                           |
| 300.4                                    | Other Mood Disorders                              | 7                         |                           |
| 311.                                     | Other Mood Disorders                              | 6                         |                           |
| 296.33                                   | Major Depression                                  | 5                         |                           |
| 296.80                                   | Bipolar Disorder NOS                              | 5                         |                           |
| 296.50                                   | Bplr afctv dsord, dprsd, unspc                    | 4                         |                           |
| 296.64                                   | Bipolar I Disorder                                | 4                         |                           |
| 296.7                                    | Bipolar I Disorder                                | 4                         |                           |
| 296.89                                   | Other Mood Disorders                              | 4                         |                           |

| Diagnosis from Encounters |   |              |
|---------------------------|---|--------------|
| Code                      | Description                                       | Client Count |
| 311                       | Other Mood Disorders                              | 594          |
| 303.90                    | Alcohol Dependence                                | 357          |
| 304.80                    | Polysubstance Dependence                          | 334          |
| 304.40                    | Amphetamine Dependence                            | 217          |
| 305.00                    | Alcohol Abuse/Dependence                          | 192          |
| 296.32                    | Major Depression                                  | 186          |
| 300.4                     | Other Mood Disorders                              | 161          |
| 296.33                    | Major Depression                                  | 142          |
| 309.81                    | Anxiety Disorders                                 | 111          |
| V61.10                    | Marital problem counseling NOS                    | 99           |
| 305.70                    | Amphetamine Abuse                                 | 90           |
| 304.30                    | Cannabis Dependence                               | 90           |
| 300.00                    | Anxiety Disorder NOS                              | 82           |
| 296.90                    | Mood Disorder NOS                                 | 79           |
| 296.30                    | Major Depression Disorder, Recurrent, Unspecified | 69           |
| 305.20                    | Abuse/Dependence/Withdrawal                       | 63           |

<sup>1</sup> Primary Diagnosis

<sup>2</sup> Number of clients with a secondary diagnosis, if present

| Diagnosis from Clinical Data Submissions |  |                           |                           |
|--|--|---------------------------|---------------------------|
| Code                                     | Description  | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
| 296.40                                   | Bipolar I Disorder, Most Recent Episode Manic, Unspecified | 3                         |                           |
| 296.44                                   | Bipolar I Disorder   | 3                         |                           |
| 296.54                                   | Bipolar I Disorder   | 3                         |                           |
| 309.81                                   | Anxiety Disorders  | 3                         |                           |

| Diagnosis from Encounters |  |              |
|---------------------------|--|--------------|
| Code                      | Description  | Client Count |
| 296.34                    | Major depression recurrent-severe w/psychotic features | 60           |
| 309.0                     | Adjustment Disorder                                    | 54           |
| 300.02                    | Anxiety Disorders                                      | 53           |
| 296.80                    | Bipolar Disorder NOS                                   | 50           |

**Non-Title XIX/XXI Adults — GMH/SA**

| Diagnosis from Clinical Data Submissions |  |                           |                           |
|--|--|---------------------------|---------------------------|
| Code                                     | Description  | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
|  | Unknown  | 859                       |                           |
| 303.90                                   | Alcohol Dependence                                     | 41                        |                           |
| 311.                                     | Other Mood Disorders                                   | 30                        |                           |
| 304.80                                   | Polysubstance Dependence                               | 21                        |                           |
| 296.33                                   | Major Depression                                       | 20                        |                           |
| 296.32                                   | Major Depression                                       | 16                        |                           |
| 305.00                                   | Alcohol Abuse/Dependence                               | 11                        |                           |
| 304.40                                   | Amphetamine Dependence                                 | 9                         |                           |
| 296.34                                   | Major depression recurrent-severe w/psychotic features | 8                         |                           |
| 300.4                                    | Other Mood Disorders                                   | 8                         |                           |
| 296.90                                   | Mood Disorder NOS                                      | 7                         |                           |
| 305.70                                   | Amphetamine Abuse                                      | 7                         |                           |
| 296.30                                   | Major Depression Disorder, Recurrent, Unspecified      | 6                         |                           |
| 295.30                                   | Schizophrenia  | 5                         |                           |
| 304.00                                   | Abuse/Dependence/Withdrawal                            | 5                         |                           |
| 309.81                                   | Anxiety Disorders                                      | 5                         |                           |

| Diagnosis from Encounters |   |              |
|---------------------------|---|--------------|
| Code                      | Description                                       | Client Count |
| 311                       | Other Mood Disorders                              | 594          |
| 303.90                    | Alcohol Dependence                                | 357          |
| 304.80                    | Polysubstance Dependence                          | 334          |
| 304.40                    | Amphetamine Dependence                            | 217          |
| 305.00                    | Alcohol Abuse/Dependence                          | 192          |
| 296.32                    | Major Depression                                  | 186          |
| 300.4                     | Other Mood Disorders                              | 161          |
| 296.33                    | Major Depression                                  | 142          |
| 309.81                    | Anxiety Disorders                                 | 111          |
| V61.10                    | Marital problem counseling NOS                    | 99           |
| 305.70                    | Amphetamine Abuse                                 | 90           |
| 304.30                    | Cannabis Dependence                               | 90           |
| 300.00                    | Anxiety Disorder NOS                              | 82           |
| 296.90                    | Mood Disorder NOS                                 | 79           |
| 296.30                    | Major Depression Disorder, Recurrent, Unspecified | 69           |
| 305.20                    | Abuse/Dependence/Withdrawal                       | 63           |

<sup>1</sup> Primary Diagnosis

<sup>2</sup> Number of clients with a secondary diagnosis, if present

| Diagnosis from Clinical Data Submissions |                                 |                           |                           |
|--|---------------------------------|---------------------------|---------------------------|
| Code                                     | Description                     | Client Count <sup>1</sup> | Client Count <sup>2</sup> |
| 296.53                                   | Bplr afctv dsord, dprsd, severe | 4                         |                           |
| 296.54                                   | Bipolar I Disorder              | 4                         |                           |
| 296.80                                   | Bipolar Disorder NOS            | 4                         |                           |
| 298.9                                    | Psychotic Disorder NOS          | 4                         |                           |

| Diagnosis from Encounters |  |              |
|---------------------------|--|--------------|
| Code                      | Description  | Client Count |
| 296.34                    | Major depression recurrent-severe w/psychotic features | 60           |
| 309.0                     | Adjustment Disorder                                    | 54           |
| 300.02                    | Anxiety Disorders                                      | 53           |
| 296.80                    | Bipolar Disorder NOS                                   | 50           |

**Zip Code Distribution Information**

| County Name  | Zip Code | Title XIX/XXI AHCCCS Eligible Counts | Title XIX/XXI Enrolled Counts | Non-Title XIX/XXI Enrolled Counts |
|--------------|----------|--------------------------------------|-------------------------------|-----------------------------------|
| Gila County  | 85201    | 1                                    |                               |                                   |
| Gila County  | 85235    | 261                                  | 20                            | 13                                |
| Gila County  | 85282    | 1                                    |                               |                                   |
| Gila County  | 85292    | 578                                  | 50                            | 27                                |
| Gila County  | 85501    | 2618                                 | 195                           | 110                               |
| Gila County  | 85502    | 45                                   | 31                            | 14                                |
| Gila County  | 85532    | 272                                  | 46                            | 28                                |
| Gila County  | 85539    | 808                                  | 78                            | 28                                |
| Gila County  | 85541    | 2689                                 | 401                           | 302                               |
| Gila County  | 85542    | 2046                                 | 2                             | 2                                 |
| Gila County  | 85544    | 256                                  | 33                            | 23                                |
| Gila County  | 85545    | 78                                   | 8                             | 1                                 |
| Gila County  | 85547    | 53                                   | 39                            | 16                                |
| Gila County  | 85550    | 2730                                 |                               |                                   |
| Gila County  | 85553    | 134                                  | 13                            | 10                                |
| Gila County  | 85554    | 84                                   | 6                             | 3                                 |
| Gila County  | 85705    | 1                                    |                               |                                   |
| Gila County  | 85925    | 1                                    |                               |                                   |
| Pinal County | 85006    | 1                                    |                               |                                   |
| Pinal County | 85202    | 2                                    |                               |                                   |
| Pinal County | 85205    | 4                                    |                               |                                   |
| Pinal County | 85217    | 29                                   | 9                             | 2                                 |
| Pinal County | 85218    | 328                                  |                               |                                   |
| Pinal County | 85219    | 2490                                 | 325                           | 115                               |
| Pinal County | 85220    | 56                                   | 440                           | 204                               |

| County Name    | Zip Code | Title XIX/XXI AHCCCS<br>Eligible Counts | Title XIX/XXI<br>Enrolled Counts | Non-Title XIX/XXI<br>Enrolled Counts |
|----------------|----------|---|----------------------------------|--------------------------------------|
| Pinal County   | 85221    | 1096                                    | 2                                | 3                                    |
| Pinal County   | 85222    | 9043                                    | 1057                             | 490                                  |
| Pinal County   | 85223    | 943                                     | 69                               | 39                                   |
| Pinal County   | 85228    | 3295                                    | 274                              | 89                                   |
| Pinal County   | 85230    | 80                                      | 30                               | 9                                    |
| Pinal County   | 85231    | 3711                                    | 248                              | 98                                   |
| Pinal County   | 85232    | 1114                                    | 83                               | 57                                   |
| Pinal County   | 85237    | 504                                     | 54                               | 23                                   |
| Pinal County   | 85239    | 1707                                    | 116                              | 33                                   |
| Pinal County   | 85241    | 187                                     | 8                                | 9                                    |
| Pinal County   | 85242    |   | 50                               | 24                                   |
| Pinal County   | 85245    | 18                                      |                                  | 1                                    |
| Pinal County   | 85247    | 2225                                    | 15                               | 2                                    |
| Pinal County   | 85272    | 706                                     | 37                               | 18                                   |
| Pinal County   | 85273    | 866                                     | 65                               | 48                                   |
| Pinal County   | 85278    | 25                                      | 4                                | 2                                    |
| Pinal County   | 85282    | 3                                       |                                  |                                      |
| Pinal County   | 85291    | 36                                      | 2                                | 4                                    |
| Pinal County   | 85292    | 15                                      |                                  |                                      |
| Pinal County   | 85353    | 5                                       |                                  |                                      |
| Pinal County   | 85618    | 768                                     | 36                               | 33                                   |
| Pinal County   | 85623    | 558                                     | 48                               | 49                                   |
| Pinal County   | 85631    | 1097                                    | 89                               | 41                                   |
| Pinal County   | 85706    | 2                                       |                                  |                                      |
| Pinal County   | 85739    |   | 2                                | 1                                    |
| Pinal County   | 86004    | 4                                       |                                  |                                      |
| Unknown County |          |   | 35                               | 26                                   |

**Complaint Resolution Data**

| Category & Subcategory       | SFY 2002 |     |        | SFY 2003 |     |        |
|------------------------------|----------|-----|--------|----------|-----|--------|
|                              | Child    | SMI | GMH/SA | Child    | SMI | GMH/SA |
| Treatment Services           | 0        | 0   | 0      | 0        | 0   | 0      |
| Counseling, Individual       | 0        | 1   | 0      | 0        | 0   | 0      |
| Counseling, Group            | 0        | 0   | 0      | 0        | 0   | 0      |
| Counseling, Family           | 1        | 0   | 0      | 0        | 0   | 0      |
| Consultation, Assessment     | 5        | 1   | 1      | 0        | 1   | 0      |
| Other Professional           | 0        | 0   | 0      | 0        | 0   | 0      |
| Rehabilitation Services      | 0        | 0   | 0      | 0        | 0   | 0      |
| Living Skills Training       | 0        | 0   | 0      | 0        | 0   | 0      |
| Health Promotion             | 0        | 0   | 0      | 0        | 0   | 0      |
| Supported Employment         | 0        | 0   | 0      | 0        | 0   | 0      |
| Cognitive Rehabilitation     | 0        | 0   | 0      | 0        | 0   | 0      |
| Medical Services             | 0        | 0   | 0      | 0        | 0   | 0      |
| Medication Services          | 0        | 0   | 0      | 1        | 0   | 0      |
| Lab. Radiology               | 0        | 0   | 0      | 0        | 0   | 0      |
| Medical Management           | 0        | 0   | 0      | 0        | 1   | 0      |
| ECT                          | 0        | 0   | 0      | 0        | 0   | 0      |
| Support Services             | 0        | 0   | 0      | 0        | 0   | 0      |
| Case Management              | 2        | 2   | 0      | 2        | 4   | 0      |
| Personal Assistance          | 0        | 0   | 0      | 0        | 0   | 0      |
| Family Support               | 0        | 0   | 0      | 0        | 0   | 0      |
| Peer Support                 | 0        | 0   | 0      | 0        | 0   | 0      |
| Respite Care                 | 0        | 0   | 0      | 0        | 0   | 0      |
| Housing Support Services     | 0        | 0   | 0      | 0        | 0   | 0      |
| Interpreter Services         | 0        | 0   | 0      | 0        | 0   | 0      |
| Flex Funds                   | 0        | 0   | 0      | 0        | 0   | 0      |
| Transportation               | 0        | 0   | 0      | 0        | 0   | 0      |
| Therapeutic Foster Care      | 0        | 0   | 0      | 0        | 0   | 0      |
| Crisis Intervention Services | 0        | 0   | 0      | 0        | 0   | 0      |
| Mobile                       | 0        | 0   | 0      | 0        | 0   | 0      |
| Crisis Service               | 0        | 1   | 6      | 0        | 0   | 0      |
| Residential Services         | 0        | 0   | 0      | 0        | 0   | 0      |
| Level II                     | 0        | 0   | 0      | 0        | 0   | 0      |
| Level III                    | 0        | 0   | 6      | 0        | 0   | 0      |
| R & B                        | 0        | 0   | 0      | 0        | 0   | 0      |
| Inpatient Services           | 0        | 0   | 0      | 0        | 0   | 0      |

| Category & Subcategory         | SFY 2002 |          |          | SFY 2003 |          |          |
|--------------------------------|----------|----------|----------|----------|----------|----------|
|                                | Child    | SMI      | GMH/SA   | Child    | SMI      | GMH/SA   |
| Inpatient Professional         | 0        | 0        | 0        | 0        | 0        | 0        |
| Hospital/Psychiatric           | 0        | 3        | 0        | 0        | 0        | 0        |
| Hospital/Detox                 | 0        | 0        | 0        | 0        | 0        | 0        |
| Subacute/Psychiatric           | 0        | 0        | 0        | 0        | 0        | 0        |
| Subacute/Detox                 | 0        | 0        | 0        | 0        | 0        | 0        |
| RTC/Psychiatric                | 4        | 0        | 6        | 0        | 0        | 0        |
| RTC/Detox                      | 0        | 0        | 0        | 0        | 0        | 0        |
| Behavioral Health Day Programs | 0        | 0        | 0        | 0        | 0        | 0        |
| Supervised                     | 0        | 0        | 0        | 0        | 0        | 0        |
| Therapeutic                    | 0        | 1        | 0        | 0        | 0        | 0        |
| Medical                        | 0        | 0        | 0        | 0        | 0        | 0        |
| Pharmacy                       | 0        | 0        | 0        | 0        | 0        | 0        |
| Pharmacy                       | 0        | 0        | 0        | 0        | 0        | 0        |
| <b>Total*</b>                  | <b>8</b> | <b>7</b> | <b>9</b> | <b>2</b> | <b>6</b> | <b>0</b> |

\* The "Total" reflects the total number of complaints received by ADHS. Each complaint may have pertained to and been coded into more than one covered service category.

## Grievance and Appeals Data

### SMI Grievances

Number and Level at which SMI Grievances were resolved.

| Issue                       | Contractor | DBHS | Administrative Hearing | Pending | Total     |
|-----------------------------|------------|------|------------------------|---------|-----------|
| Inhumane Conditions         | 1          |      |                        |         | 1         |
| <b>Total</b>                | <b>1</b>   |      |                        |         | <b>1</b>  |
| <b>Average Days Elapsed</b> |            |      |                        |         | <b>32</b> |

### Member Appeals

Number and Level at which Member Appeals were resolved.

| Appeal Type                 | Issue   | Contractor | DBHS | Administrative Hearing | AHCCCS Hearing | Pending | Total     |
|-----------------------------|---|------------|------|------------------------|----------------|---------|-----------|
| SMI                         | Treatment Plan                                  | 1          |      |                        |                |         | 1         |
| SMI                         | SMI Decertification                             | 2          |      |                        |                |         | 2         |
| SMI                         | Other   | 1          |      |                        |                |         | 1         |
| SMI                         | Outpatient Services                             | 2          |      |                        |                |         | 2         |
| NXIX/N-SMI                  | Access to Service - Timeliness                  | 1          |      |                        |                |         | 1         |
| NXIX/N-SMI                  | Denial of Service                               | 2          |      |                        |                |         | 2         |
| NXIX/N-SMI                  | Reduction, Suspension or Termination of Service | 2          |      |                        |                |         | 2         |
| NXIX/N-SMI                  | Residential Placement                           | 1          |      |                        |                |         | 1         |
| AHCCCS                      | Reduction, Suspension or Termination of Service |            |      |                        | 4              |         | 4         |
| AHCCCS                      | Denial of Service                               |            |      |                        | 2              |         | 2         |
| <b>Total</b>                |   | <b>12</b>  |      |                        | <b>6</b>       |         | <b>18</b> |
| <b>Average Days Elapsed</b> |   |            |      |                        |                |         | <b>38</b> |

### Provider Claim Disputes

Number and Level at which Provider Claim Disputes were resolved.

| Issue                       | Contractor | Administrative Hearing | AHCCCS Hearing | Pending | Total    |
|-----------------------------|------------|------------------------|----------------|---------|----------|
| Non-Payment of Claim        | 3          |                        |                |         | 3        |
| <b>Total</b>                |            |                        |                |         | <b>3</b> |
| <b>Average Days Elapsed</b> |            |                        |                |         | 31       |

### ***Prevention Services***

The following presents data for Prevention services. These dollars come from federal block grants combined with state funding. The following tables include the dollar amounts for Prevention services from the financial statements for SFY01 – SFY03.

#### ***Prevention Service Dollars***

| Category of Service           | SFY01               | SFY02             | SFY03*            |
|-------------------------------|---------------------|-------------------|-------------------|
| <b>Prevention</b>             |                     |                   |                   |
| Prevention — HIV              |                     |                   |                   |
| Prevention                    | \$ 1,035,468        | \$ 951,008        | \$ 721,269        |
| <b>Substance Abuse</b>        |                     |                   |                   |
| Prevention — HIV              |                     |                   |                   |
|                               | \$ 13,440           | \$ 13,107         | \$ 14,891         |
| Block Purchase Drop-In Center |                     |                   |                   |
| <b>Total</b>                  | <b>\$ 1,048,908</b> | <b>\$ 964,115</b> | <b>\$ 736,160</b> |

#### ***Prevention Participant Count***

| Category of Service    | SFY01  | SFY02  | SFY03  |
|------------------------|--------|--------|--------|
| Number of Participants | 10,640 | 17,463 | 15,484 |